

Intrahousehold Effects of a Targeted Maternal and Child Nutrition Intervention: Household Behavior and Spillovers in Ghana

Katherine P. Adams¹

Draft Date: Oct. 29, 2014

Preliminary draft. Please do not cite.

Abstract

It is common for health and nutrition interventions to target specific members within a household and for evaluations of their effects to focus exclusively on those members. If a targeted intervention introduces a change to a household's utility maximization problem (new information, changes in constraints or prices, etc.) households might respond to the intervention in ways that affect the well-being of non-targeted members. In this paper we evaluate household behavioral responses to small-quantity lipid-based nutrient supplements (SQ-LNS) provided specifically to mothers and their babies to prevent undernutrition. We find households responded to the randomized, targeted intervention by increasing their labor supply, particularly among fathers, to fund increased expenditures on food (including nutrient-dense foods like fish, milk, and vegetables) and non-food items. Given higher food expenditures, we then explore whether there was an intrahousehold spillover effect on the nutritional status of non-targeted young children in the household. Overall, the nutritional status of these children was unaffected, but we find evidence of an improvement in linear growth among children with relatively taller mothers. Taken together, these findings have potentially valuable policy implications for a country like Ghana that is undergoing a nutrition transition and facing the double burden of undernutrition and overweight. More broadly, our findings underscore the value in collecting sufficient data to rigorously evaluate how households respond to targeted interventions and whether those responses generate intrahousehold spillovers.

Acknowledgements

This study is based on research funded by a grant to the University of California, Davis from the Bill & Melinda Gates Foundation. The findings and conclusions contained within are those of the author and do not necessarily reflect positions or policies of the Bill & Melinda Gates Foundation. I thank Travis Lybbert, Steve Vosti, Emmanuel Ayifah, Mary Arimond, Seth Adu-Afarwuah, and Kay Dewey for their valuable collaboration in the planning and revising of this paper. I also thank Jan Peerson for guidance in cleaning, analyzing, and interpreting the anthropometric data. Thanks to Anna Lartey, Boateng Bannerman and the data entry team in Accra, Harriet Okronipa and the iLiNS DYAD-G management team in Kpong, the iLiNS DYAD-G SES enumerators and anthropometry team for their work in the field, and, notably, the study families. All errors are my own.

¹ Department of Agricultural and Resource Economics, University of California, Davis. kpitten@primal.ucdavis.edu.

1. Introduction

Nutrition in the earliest stages in the life-cycle – from a woman’s pregnancy through her child’s second birthday – shapes a child’s growth trajectory and developmental potential and, as such, has long-term consequences for human capital acquisition and economic productivity in adulthood (Black et al., 2013; Grantham-McGregor et al., 2007; Hoddinott et al., 2013; Victora et al., 2010; World Bank, 2006). This early, pivotal period in the life-cycle has therefore become the focus of many maternal and child nutrition interventions providing, e.g., conditional cash, health and nutrition information, or supplementation to mothers and/or young children (Bhutta et al., 2013; World Bank, 2010). Evaluations of the efficacy or effectiveness of these interventions logically center around estimates of their effect on the nutrition, health and development of the targeted household member(s). But household behavior is not static, and if a targeted intervention introduces changes to a household’s utility maximization problem in the form of new information, changes in constraints or relative prices, etc., the intervention may induce a behavioral response with the potential to affect the well-being (either positively or negatively) of non-targeted household members.

This study explores household behavioral responses to and intrahousehold spillover effects associated with the targeted provision of small-quantity lipid-based-nutrient-supplement (SQ-LNS). SQ-LNS were provided to mothers during pregnancy and the first six months postpartum and to their babies from 6-18 months of age as part of a randomized controlled trial in Ghana designed to test their efficacy vis-a-vis maternal multiple micronutrient tablets and iron-folic acid tablets. We empirically explore whether the targeted provision of SQ-LNS induced changes in household expenditures, paying particular attention to expenditures on

nutrient-dense foods. Compared to households in which the mother received either a multiple micronutrient tablet or iron-folic acid tablet, we find higher per capita expenditures on food in general and on nutrient-dense foods in particular in households in which the mother and her baby received SQ-LNS. We likewise find higher expenditures on non-food goods and services in these households.

Since both food and non-food expenditures were higher in SQ-LNS households, it is apparent that households were not merely reallocating their budgets between food and non-food items. Therefore, we then consider whether the intervention had a positive effect on the labor income of SQ-LNS households, which would have permitted higher expenditures. Although we find no difference in the income of the target mothers who were directly participating in the trial, total income per capita was higher in SQ-LNS households, as was the income of the husbands of target mothers. These findings provide suggestive evidence that households were funding higher expenditures through a labor response.

Depending on the intrahousehold allocation of food, higher household expenditures on food induced by the targeted intervention had the potential to influence the nutritional status of non-targeted household members. We use anthropometric data on the youngest sibling² under age five to explore this potential spillover effect. While we find no overall effect of the targeted provision of SQ-LNS on the siblings' height-for-age, weight-for-age, or body-mass-index-for-age z-scores, we do find improvements in linear growth among the subset of siblings with relatively taller mothers. A child's growth is a reflection of both genetic potential, which is indicated at least in part by maternal height (Addo et al., 2013), and environmental factors that

² "Sibling" here refers to the older brother or sister of the target baby participating in the randomized trial.

influence growth, including nutrition (Adair, 1999). Furthermore, there is evidence suggesting recovery from poor linear growth is stronger among children with taller mothers (Adair, 1999; Crookston et al., 2010). Within this context, one possible interpretation of the improvement in linear growth among siblings with taller mothers is that higher expenditures on nutrient-dense foods led to improved nutrition for siblings in treatment households, but better nutrition led to an improvement linear growth only for those siblings with high genetic growth potential.

Together, these findings contribute to a small but growing body of literature evaluating spillover effects of targeted maternal and child health and nutrition interventions in developing countries (Adhvaryu and Nyshadham, 2014; Chaudhuri, 2009; Fitzsimons et al., 2014; Kazianga et al., 2014). Each of these studies provided evidence of a spillover effect, though with the exception of Fitzsimons et al. (2014), the behavioral responses to the interventions responsible for generating the spillover effects are largely peripheral. Using a rich panel dataset, this study takes behavioral responses to a targeted intervention as the point of departure and provides nuanced insight into when and how households changed their behavior in response to the targeted intervention. Once a behavioral response is established, we then ask whether the response generated observable intrahousehold spillovers on the nutritional status of non-targeted children in the household. The results presented in this study, together with the previous findings in the literature, underscore the importance of assessing not only the effects of an intervention on targeted household members but also the value in collecting data to facilitate an assessment of how households respond to such interventions and whether those responses might generate intrahousehold spillover effects.

The remainder of the paper is organized as follows. We begin with background information on SQ-LNS, a description of the randomized controlled trial, and a brief review of relevant literature to set our study within the context of what has previously been discovered on intrahousehold spillovers. This is followed by a description of the data used in the analyses, our empirical strategy, and the results. We then put forth several possible drivers of the observed behavioral response. Finally, we present limitations of our findings and make concluding remarks.

2. Background

Ready-to-use therapeutic food (RUTF) are fortified, lipid-based food products that are routinely used in the treatment of children with severe acute malnutrition (Arimond et al., 2013; Briend and Collins, 2010). The success of these therapeutic products, which are energy-dense and consumed in large quantities over a relatively short period of time for rehabilitative purposes, has spurred the development of similar products, collectively called small-quantity lipid-based nutrient supplements (SQ-LNS), administered at a much lower daily ration but with a higher concentration of micronutrients to *prevent* undernutrition (Arimond et al., 2013; Dewey and Arimond, 2012). SQ-LNS typically contain vegetable fat, peanut paste, milk powder, sugar, and a vitamin-mineral mix, and because the micronutrients in SQ-LNS are embedded in a lipid-rich base, the supplements also provide some macronutrients (fats, protein, and carbohydrates). As described next, the efficacy of SQ-LNS for women and young children was recently evaluated in a randomized controlled trial in Ghana.

2.1 Description of the Randomized Trial

From December 2009 through February 2014, the International Lipid-Based Nutrient Supplement (iLiNS) Project³ administered a targeted randomized controlled trial in Ghana to evaluate the efficacy of a duo of SQ-LNS products designed for maternal consumption during pregnancy and the first six months of lactation (LNS-P&L) and for consumption in early childhood (LNS-Child) to prevent undernutrition.⁴ The catchment area for recruitment of pregnant women into the trial was situated along a busy commercial corridor in the Lower Manya Krobo and Yilo Krobo districts in the Eastern Region of Ghana.

Most households in the semi-urban catchment area have electricity and access to potable water (Adu-Afarwuah et al., 2014). The area also has a large, twice-weekly market and a number of smaller markets, which are very accessible thanks to a reasonably good public transportation system linking the communities along the corridor. Rates of maternal and early childhood undernutrition in this region of Ghana are, in general, comparable to national rates. Among all children under age five in the Eastern Region, 37.9% are stunted⁵ (Ghana Statistical Service, 2009). Approximately 73% of children 6-59 months old in the Eastern Region are anemic,⁶ and the rate of anemia in women of childbearing age is 58.3% (Ghana Statistical Service, 2009).

³ <http://ilins.org/>

⁴ The iLiNS Project also conducted efficacy trials for similar SQ-LNS products in Malawi and Burkina Faso, but sibling anthropometric data are only available for Ghana.

⁵ Children with a height-for-age z-score of < -2 SD below the reference population are considered stunted (de Onis and Blössner, 1997). Height-for-age is a cumulative measure of nutritional status and reflects the effect of chronic undernutrition on linear growth (O'Donnell et al., 2008).

⁶ Anemia is defined as a hemoglobin concentration in the blood of less than 11 g/dL (Ghana Statistical Service, 2009).

Recruitment and enrollment of pregnant women into the trial was done on a rolling basis from December 2009 to December 2011. Women attending select prenatal clinics were approached for potential participation in the trial.⁷ Interested women were screened to determine their eligibility.⁸ Eligible and willing women were then formally recruited into the study and randomized into one of the trial's three equally-sized arms in which women received either (1) daily iron-folic acid tablets throughout pregnancy, the current standard of prenatal care in Ghana, and a placebo (low-dose calcium tablet) during the first six months postpartum, (2) daily multiple micronutrient tablets during pregnancy and the first six months postpartum, or (3) LNS-P&L during pregnancy and the first six months postpartum; the babies of women randomized into the LNS-P&L group also received LNS-Child from 6-18 months of age. The babies of women randomized into the iron-folic acid or multiple micronutrient tablet groups did not receive any supplementation. Table A1 in the appendix shows the nutrient composition of each SQ-LNS product alongside the nutrient composition of the multiple micronutrient and iron-folic acid tablets.

At enrollment, each woman received instructions on how to take her assigned supplement and was told, "Do not forget to eat meat, fish, eggs, fruits and vegetables whenever you can. You still need these foods even if you take the supplement we have given

⁷ Based on this recruitment mechanism, the women enrolled in the trial were not a random sample of the pregnant women in this area of Ghana, which limits the generalizability of our results. We discuss this issue further when we address the limitation of the study.

⁸ Eligibility requirements were (1) at least 18 years of age, (2) not more than 20 weeks of gestation (determined by dating ultrasound), (3) possession of an ante-natal card issued by the Ghana Health Service, (4) complete preliminary ante-natal examination, (5) HIV negative or unknown status, (6) no chronic diseases requiring frequent medical attention, (7) residence in the Manya Krobo or Yilo Krobo districts throughout the intervention, and (8) prepared to sign an informed consent and receive home visitors. Women with known peanut or milk allergies, women participating in concurrent trials, and women with severe illnesses warranting hospital referrals were also excluded from the study.

you.” Over the course of the maternal portion of the intervention during pregnancy and the first six months postpartum, all women in the trial, regardless of treatment group, were visited by project staff every two weeks to deliver supplements and collect data on morbidity and adherence to the study protocol. The nutrition message was repeated to all women at a laboratory visit at 36 weeks of gestation. After the birth of the women’s babies, staff made weekly home visits to deliver supplements (if applicable) and collect morbidity and adherence data on the babies. A message about the importance of feeding the baby diverse, nutritious foods as well as continuing to breastfeed were also communicated to all women, regardless of treatment group, when the baby was six months old. Beyond delivery of different supplements, the frequency of contact with the households by iLiNS staff and the content of those visits were, by design, very uniform across the treatment groups.

Statistical analyses of the effect of the intervention on birth outcomes⁹ showed that providing women with SQ-LNS during pregnancy increased average birthweight by 2.9% relative to women who received iron-folic acid tablets, though there was no difference in birthweight relative to the women who received multiple micronutrient tablets (Adu-Afarwuah et al., 2014). The analyses also demonstrated statistically significant heterogeneity in the effect of SQ-LNS on birth outcomes by parity. Among first-time mothers, the provision of SQ-LNS compared to iron-folic acid tablets and compared to multiple micronutrient tablets increased birthweight and birth length and decreased the incidence of low birthweight (birthweight < 2500 grams). The analyses presented in this paper broaden the scope of potential treatment effects of the

⁹ Analyses of maternal outcomes and of the growth and cognitive development of the target baby are forthcoming.

intervention by exploring household behavioral responses and the nutritional status of non-targeted siblings.

2.2 Behavioral Responses and Spillover Effects

A nascent body of literature has demonstrated the potential for intrahousehold spillover effects associated with targeted maternal and child health and nutrition interventions in developing country settings. For instance, Adhvaryu and Nyshadham (2014) found that *in utero* exposure to an iodine supplementation program in Tanzania increased the probability the child was later vaccinated against polio, diphtheria, and measles. The study also found evidence of an intrahousehold spillover effect: older siblings of the babies exposed to iodine supplementation were also more likely to be vaccinated. The authors attributed the spillover effect to an intrahousehold resource reallocation among siblings stemming from a parental preference for equity among their children.

Using a randomized block design, Kazianga et al. (2014) evaluated the spillover effects of two school-feeding programs on the nutritional status of preschool-aged siblings in rural Burkina Faso and also found evidence of a spillover effect. A year into a 'take home rations'¹⁰ school feeding program, the authors found a positive effect on the weight-for-age z-scores (WAZ) of preschool age siblings of children in treated schools, although height-for-age z-scores (HAZ) were unaffected. The other school feeding program, school meals, had no effect on the WAZ or HAZ of the younger siblings. The authors attributed the spillover effect of the take home rations program to intrahousehold food redistribution, which may have been more easily achieved under the take-home rations program relative to school meals.

¹⁰ Take home rations of 10kg of cereal flour were given to female students on a monthly basis conditional on a 90% school attendance rate.

In another study, Chaudhuri (2009) used data from a targeted, quasi-controlled maternal and child health-family planning program in rural Bangladesh to estimate intrahousehold spillovers on the health of elderly household members. With average baseline body mass indices (BMI) of both men and women over age 60 below what is considered a healthy BMI, and study found a statistically significant increase in the BMI of non-targeted elderly women in the household. The author provided some limited evidence suggesting the spillover was less due to an income effect (a freeing-up of household resources as a result of public health inputs provided to targeted members) and likely more attributable to a combination of a household public goods effect (information on hygiene, women's health, and nutrition provided by the program shared among household members) and a contagion effect (less illness and infection among household members).

Finally, Fitzsimons et al. (2014) evaluated household behavioral responses to a targeted cluster randomized intervention in Malawi that provided information related to infant feeding practices¹¹ to mothers with a child under six months. The study found households who received the information treatment increased their consumption of proteins, fruits, and vegetables. Paternal labor supply¹² also increased in treated households, suggesting the new information about child health stimulated a labor response in order to fund higher food consumption. The study also showed that the information treatment not only improved the height-for-age of targeted children but also improved the dietary quality (increased intake of protein-rich foods) of older siblings of the targeted children, though the nutritional status of the siblings was not

¹¹ Exclusive breastfeeding was emphasized in all visits, and later visits also included information on appropriate complementary foods including the importance of dietary diversity and preparation techniques to minimize nutrient loss.

¹² Paternal labor supply was measured as whether the father had two or more jobs.

affected. The present study is, in some ways, quite similar to Fitzsimons et al., but whereas their targeted treatment was the provision of information related to health and nutrition that might have had more obvious channels of intrahousehold spillover (i.e., a mother better informed about how to feed her baby may also apply that knowledge to improving the diets of other household members), we evaluate household responses to simply providing a food-based supplement with a very low-intensity educational component that was uniform across groups.

This study contributes to this emerging body of literature along several dimensions. First, we use detailed data on household income and expenditures to evaluate household behavioral responses to the targeted intervention. Further, the panel data structure enables us to look at heterogeneity in effects over the course of the intervention, which is particularly relevant in this case since the intervention spanned several years and encompassed periods of distinct transition in the household from pregnancy to the addition of a new baby and through the early life of the baby into toddlerhood.

3. Data

3.1 Summary Statistics

The behavioral response outcome variables used in this study came from a household expenditures questionnaire and a socioeconomic and demographics characteristics questionnaire. The household expenditures questionnaire, which was administered to a randomly selected subsample of approximately 60% of the households participating in the trial, was subdivided into food expenditures (based on a 1-week recall period), frequent non-food expenditures (1-month recall), and infrequent non-food expenditures (12-month recall). All

expenditures were converted from Ghana cedis to 4th quarter 2011 USD and expressed as per capita¹³ daily amounts.

Income data for each household member were collected as part of the demographic and socioeconomic characteristics questionnaire, which was administered to the full sample of households participating in the trial. The questionnaire respondent, who was the target mother participating in the randomized trial, was asked to report the income each household member typically received from his/her primary work. From the household roster of income information, we focus on the effects of the targeted intervention on the income of the target mother who directly participated in the trial, her husband,^{14,15} and total household income per capital.

Finally, we use anthropometric data to assess intrahousehold spillovers on the nutritional status of the youngest sibling under age five in the household. The criterion for inclusion in the sibling subsample was that the child shared the same mother as the target baby and that the sibling was less than 60 months old at maternal enrollment into the trial. In our analysis we use z-scores¹⁶ of the anthropometric measures, which enables the comparison of an individual child's anthropometric measurements (length/height and weight) to children of

¹³Expenditures per adult equivalent rather than per capita may be a better representation of how much a household spent relative to other households with different demographic compositions. Missing age data and complications in way the household roster was updated between rounds prevent us from calculating accurate per adult equivalents in this case.

¹⁴ Informal unions between the target mother and a man were very common in the sample. These unions were informal in the sense that they had not received civil or traditional recognition, but for the purposes of this study we group men married to the target woman and men in an informal union with the target women together and call them husbands.

¹⁵ Households are excluded from analyses of paternal income in cases where the target mother did not have a husband.

¹⁶ The z-score is calculated as the difference between the child's value (weight or height) and the median value of the reference population divided by the standard deviation of the reference population, where the reference population is of the same gender and age.

the same age and gender in the reference population¹⁷ (O'Donnell et al., 2008). We calculated z-scores of height-for-age (HAZ), weight-for-age (WAZ) and BMI-for-age (BMIZ) using WHO Anthro and WHO2007,¹⁸ Stata macros from the World Health Organization¹⁹ based on the updated WHO child growth standards and WHO reference 2007, respectively. Because these macros do not calculate weight-for-height z-scores (WHZ) for children over 60 months (and therefore WHZ is missing for children who aged past 60 months at follow-up anthropometric visits), WHZ is not included in this analysis. In four cases, siblings were recorded as losing height between rounds; these children were excluded from analyses of HAZ and BMIZ. An additional three biologically implausible z-scores were also excluded.²⁰

The first round of observations for each of the outcome variables described above, along with other variables that characterize the study population, are summarized in Table 1 below. However, the planned first round of socioeconomic and sibling anthropometric data collection at enrollment into the trial was delayed for a few weeks to a few months for some households, so true baseline values are not observed.²¹ Some variables such as maternal

¹⁷ The reference population is a sample of 8,500 children from Brazil, Ghana, India, Norway, Oman, and the United States who were weighed and measured between 1997 and 2003 by the World Health Organization to generate growth curves based on a single international standard (World Health Organization 2009).

¹⁸ WHO Anthro calculates z-scores for children under 60 months, and WHO2007 calculates z-scores for children 60 months and older. Since some siblings in the sample aged past 60 months during the intervention, both macros were needed to generate z-scores for these children across all three rounds.

¹⁹ Available for download at: <http://www.who.int/childgrowth/software/en/> (WHO Anthro) and <http://www.who.int/growthref/tools/en/> (WHO2007).

²⁰ Biologically implausible z-scores are outside the range -6 to 6 for HAZ, -6 to 5 for WAZ, and -5 to 5 for BMIZ (Mei and Grummer-Strawn, 2007) and can be attributed to improper measurement or data entry error.

²¹ Among variables that may not reflect baseline conditions, those from the socioeconomic and demographics questionnaire (all maternal characteristics, household demographics, and household and paternal income) were collected within two weeks of randomization for 61% of households, 75% were collected within four weeks, and 89% were collected within eight weeks. The baseline food insecurity data were collected within two weeks of randomization for 56% of households, 72% were collected within four weeks, and by eight weeks after randomization food security data had been collected for 88% of households. Expenditure data were collected within two weeks after randomization for 13% of households, 37% were collected within 4 weeks, and 67% within

height, BMI, and age were recorded on the day of enrollment and others are time-invariant (e.g., maternal education and sibling gender) or can be back-calculated to enrollment (e.g., sibling age). Variables that reflect baseline conditions are marked with a superscripted 'b' in Table 1 below.

Several variables merit comment. Maternal, paternal, and household income data are based on self-reported income typically received from the household member's primary work in the previous 12 months. For reference, the most common occupation among the target women in the sample was petty trade (48%), while approximately 20% reported no income, and just 1% identified farming as their primary work. The husbands in the sample were drivers/driver's assistants (20%), artisans (primarily self-employed carpenters and masons) (19%), shop owners (8%), mechanics (7%) and teachers (6%). The household asset index was constructed using principle components analysis based on ownership of a set of assets, housing characteristics, and water and sanitation sources (Vyas and Kumaranayake, 2006) such that a higher score indicates a better relative socioeconomic status.²² Finally, the HFIA score, a measure of household food insecurity²³, indicates that, on average, households in the sample were food secure, with an average score of 2.6 on a scale of 0-27.

Turning to sibling characteristics, height-for-age is a cumulative measure of nutritional status and reflects the effect of long-term undernutrition on linear growth (O'Donnell et al.,

8 weeks. Finally, 22% of the sibling anthropometric measures were taken within two weeks of randomization, 37% were within four weeks, and 59% were measured within eight weeks.

²² The assets included in the index were furniture, radio, stove, refrigerator, television, and car. Housing characteristics and water and sanitation sources included in the index are flooring material, electricity, primary source of drinking water, and toilet facility.

²³ The Household Food Insecurity Access (HFIA) Scale was developed by USAID's Food and Nutrition Technical Assistance (FANTA) project (Coates et al., 2007). Each household was assigned a score between 0-27 based on how frequently the household experienced each of nine food insecurity conditions in the four-week period prior to the interview; a higher score indicates higher food *in*security.

2008). Children with a HAZ of less than -2 standard deviations below the reference population are considered stunted (de Onis and Blössner, 1997). The average HAZ of the siblings in our sample at first measurement was -1.35 standard deviations below the average reference child, which matches the average HAZ among all children under five in the Eastern Region of Ghana (Ghana Statistical Service, 2009).²⁴

Weight-for-age reflects body mass relative to age and can reflect both current and cumulative nutritional conditions (de Onis and Blössner, 1997). Children with a WAZ less than -2 standard deviations below the reference population are classified as underweight. The average WAZ in the sibling sample was -0.78 at first measurement, slightly lower than the average WAZ of -0.6 among children under five in the Eastern Region (Ghana Statistical Service, 2009).

²⁴Note that the age distribution in our sample is slightly different than the age distribution of children under five who were surveyed as part of the Demographic and Health Survey (DHS). The DHS included more children under age two and fewer children between 2-5 years old.

Table 1. First Round Maternal, Household, and Sibling Characteristics

	Variable	Definition	N	Mean	Std Dev	Min, Max
Maternal	Age ^b	Age in years	1274	26.7	5.5	18, 45
	Education ^b	Years of education	1274	7.4	3.7	0, 16
	Head of Household	= 1 if mother is head of her household	1273	0.14	0.4	0, 1
	Children	Number of children who are household members	1274	1.0	1.1	0, 7
	Maternal Daily Income	Income per day in 2011 USD	1274	1.99	3.7	0, 46.8
	Height ^b	Height in centimeters	1274	158.8	5.7	143.4, 177.8
	BMI ^b	Body mass index at enrollment	1274	24.8	4.6	16.2, 61.9
Household	Household Size	Number of household members	1274	4.0	2.1	1, 16
	Children Under 5	Number of children under age 5	1274	0.5	0.6	0, 3
	Female Headed	= 1 if household head is female	1270	0.28	0.5	0, 1
	Asset Index	Proxy measure of socioeconomic status based on asset ownership	1273	0.00	1.0	-2.6, 1.4
	HFIA Score	Household Food Insecurity Access Score	1267	2.6	4.3	0, 22
	PC Food Expenditures	Per capita daily expenditures on food in 2011 USD	677	1.38	0.9	0.04, 7.9
	PC Expenditures on Nutrient-Dense Foods	Per capita daily expenditures on nutrient-dense foods in 2011 USD	694	0.74	0.5	0.01, 5.1
Household	PC Frequent Non-Food Expenditures	Per capita daily expenditures on frequently purchased non-food items in 2011 USD	683	0.65	0.84	0.03, 11.2
	PC Infrequent Non-Food Expenditures	Per capita daily expenditures on infrequently purchased non-food items in 2011 USD	656	0.76	1.46	0.02, 26.1
	Paternal Daily Income	Daily income of husband of target mother (2011 USD)	844	4.62	4.1	0, 35.0
	PC Household Daily Income	Per capita household income per day in 2011 USD	1240	1.90	2.1	0, 18.0
	Sibling	Age ^b	Age in months at maternal enrollment into trial	410	35.5	11.8
Female ^b		= 1 if sibling is female	410	0.50	0.5	0, 1
HAZ		Height-for-age z-score	407	-1.35	1.2	-6.1, 5.8
WAZ		Weight-for-age z-score	410	-0.78	1.0	-3.7, 3.1
BMIZ		BMI-for-age z-score	404	0.12	1.0	-4.8, 4.2

^b Variable reflects true baseline.

Notes: A household member is defined as anyone who has been regularly sleeping in the household's dwelling and sharing food from the same cooking pots for at least the last three months. Nutrient dense foods include animal-source foods, fruits, vegetables, pulses, and nuts.

Finally, BMI-for-age, a measure of body mass relative to height, is less-commonly used to assess the nutritional status of children under five, but since our sample included children who aged beyond 60 months during the intervention period, we include it in the analysis. BMIZ cut-offs are established for both overnutrition and undernutrition and have different cutoff points for children under age five and children ages 5-19. Under age five, a child with a BMIZ of > 3 is categorized as obese, while a BMIZ < -2 is an indicator of wasting (World Health Organization, 2008). For children five years and older, a BMIZ of > 2 is an indicator of obesity while a BMIZ < -2 is an indicator of thinness.

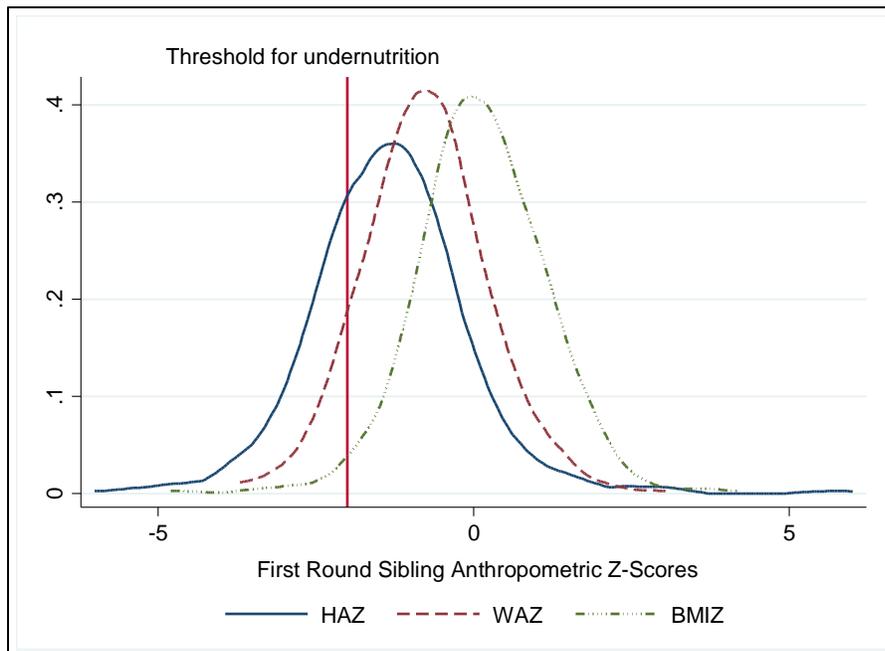


Figure 1. First-Round Sibling Anthropometric Z-Scores

Notes: The vertical line at -2 standard deviations indicates the cut-off for categorizing a child as undernourished based on stunting (low HAZ), underweight (low WAZ), or wasting/thinness (low BMIZ).

Figure 1 presents density estimates of sibling z-scores at first measurement.

Approximately 28% of the siblings were stunted at first measurement, lower than the rate of stunting in the Eastern Region of Ghana among children under five, which is 37.9% (Ghana Statistical Service, 2009). Rates of underweight at first measurement were slightly higher than

the regional rate: 11% in the sibling sample compared to 8.7% in the Eastern Region. Less than 2% of the siblings would be considered wasted or thin according to their BMIZ, while at the other end of the spectrum, less than 1% of the siblings would be classified as obese.

Table 2 compares maternal, household, and sibling characteristics by treatment group. Bearing in mind that the first round of data were collected after first receipt of supplement for most households, we find no statistically significant difference in balance across treatment groups, but given the limitations of the data, we cannot test whether the groups were similarly balanced at baseline.²⁵

²⁵If the samples are limited to data collected within two weeks of randomization, the target women in the SQ-LNS are slightly older on average ($p < .05$) and slightly less educated ($p < .1$). The asset index is also slightly higher for SQ-LNS households ($p < .05$).

Table 2. First Round Characteristics by Treatment Group

Variable	SQ-LNS		Non-SQ-LNS		
	N	Mean (std error)	N	Mean (std error)	
Maternal Chars.	Age ^b	427	26.9 (.27)	847	26.6 (.19)
	Education ^b	427	7.3 (.19)	847	7.5 (.12)
	Head of Household	427	.14 (.02)	847	.14 (.01)
	Number of Children	427	.93 (.05)	847	.97 (.04)
	Maternal Daily Income	427	2.1 (.20)	847	1.9 (.12)
	Height ^b	427	158.8 (.26)	847	158.8 (.20)
	BMI ^b	427	25.0 (.22)	847	24.7 (.16)
Household Chars.	Household Size	427	3.9 (.10)	847	4.0 (.07)
	Children Under 5	427	.50 (.03)	847	.50 (.02)
	Female Headed	427	.27 (.02)	843	.28 (.02)
	Asset Index	427	-.07 (.05)	846	.02 (.03)
	HFA Score	425	2.55 (.20)	842	2.65 (.15)
	PC Food Expenditures	215	1.45 (.07)	462	1.35 (.04)
	PC Expenditures on Nutrient-Dense Foods	224	.78 (.04)	470	.72 (.02)
	PC Non-Food Frequent Expenditures	218	.68 (.05)	465	.64 (.04)
	PC Non-Food Infrequent Expenditures	214	.78 (.07)	442	.76 (.08)
	Paternal Daily Income	285	4.6 (.24)	559	4.6 (.17)
Sibling Chars.	PC Household Daily Income	419	1.9 (.10)	821	1.9 (.07)
	Age ^b	135	35.3 (1.0)	275	35.6 (.70)
	Female ^b	135	.48 (.04)	275	.51 (.03)
	HAZ	131	-1.36 (.11)	276	-1.3 (.07)
	WAZ	135	-.83 (.08)	275	-.75 (.06)
BMIZ	130	.07 (.09)	274	.15 (.06)	

Significance codes for difference in means between LNS and non-LNS groups: *** (p < .01), ** (p < .05), * (p < .1).

^b Variable reflects true baseline.

Notes: SQ-LNS indicates the target mother and baby were randomized to receive SQ-LNS and non-SQ-LNS indicates the mother received either multiple micronutrient or iron-folic acid tablets. Nutrient dense foods include animal-source foods, fruits, vegetables, pulses, and nuts.

3.2 Potential Data Complications

Two features of the data – the timing of enumeration and attrition - merit consideration because they raise concerns about the ability to use the data to draw valid inferences. In particular, each questionnaire was scheduled to be administered at baseline and, depending on the specific questionnaire,²⁶ again at two or three specific windows of time for follow-up during the trial. However, scheduling issues,²⁷ primarily, meant questionnaires (including the planned baseline) were often administered weeks or months after the scheduled window, and some visits were missed entirely. Therefore, we have a short, unbalanced panel of observations for each household or sibling, but the timing of each follow-up observation relative to, e.g., the birth of the target baby, varies substantially in the data. Furthermore, the baseline round was, on average, delayed for 3 weeks after randomization for the income data, 7.5 weeks for the expenditure data, and 8.5 weeks for the sibling anthropometric measurements. These idiosyncrasies in the data each introduce challenges in determining if and how the data can be used to estimate causal effects.

Because of delays in questionnaire administration, instead of observing all households/siblings at set time points (six months after the birth of the target baby, for example), instead we observe some households/siblings at six months after the birth, another group during the seventh month after the birth, another during the eighth month, etc. The

²⁶ Household expenditures were scheduled to be collected at baseline with follow-ups at six and 12 months after the birth of the target baby. Sibling anthropometric outcomes were scheduled to be collected at baseline with follow-ups at approximately six and 18 months after the birth of the target baby. Household income (collected as part of the socioeconomic and demographics characteristics survey) was scheduled to be collected at baseline with follow-ups at the 35th week of pregnancy, 6 months after the birth of the target baby, and 18 months after birth.

²⁷ Scheduling issues included difficulty scheduling a time to administer lengthy socioeconomic questionnaires, frequent rescheduling of visits when respondents were not home/available, and scheduling conflicts with the anthropometry team in the case of the sibling anthro measurements.

distributions of timing of enumeration at each planned round relative to the birth of the target baby is shown in Figure 1.

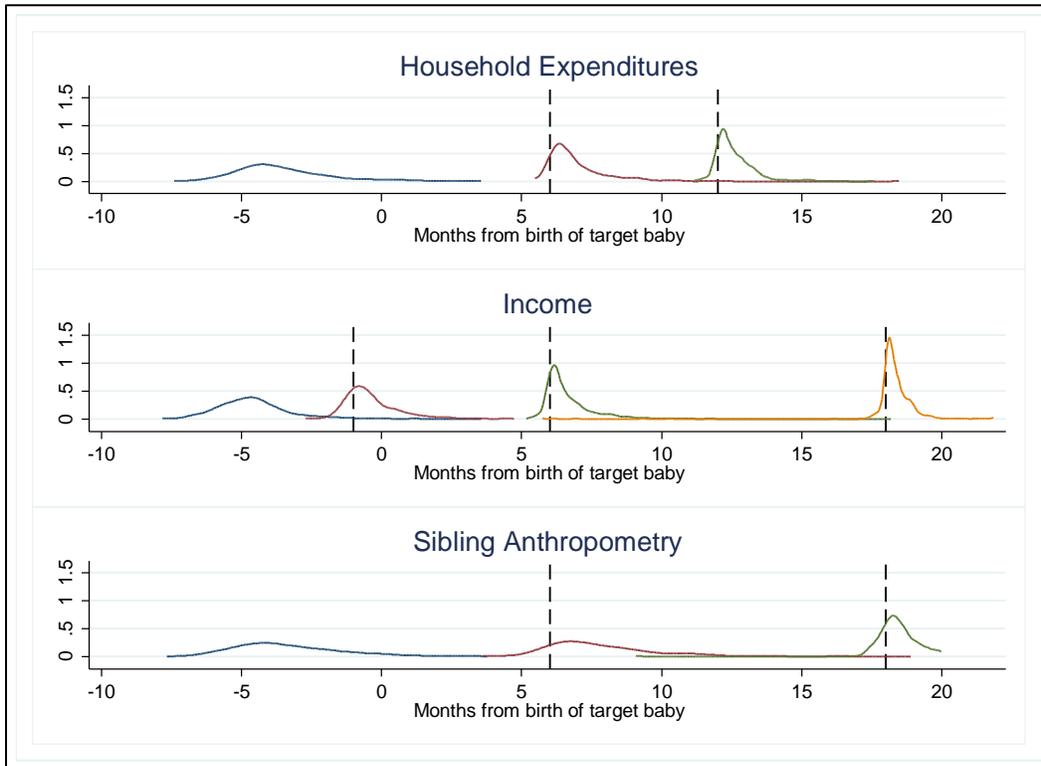


Figure 2. Density of Timing of Enumeration by Round

Notes: Dashed vertical lines show the planned timing of follow-up rounds relative to the birth of the target baby, where the birth of the baby is represented at time equal to zero. The timing of the planned baseline round naturally varied relative to the birth of the targeted baby based on maternal gestational age at enrollment and gestational age at delivery.

It is possible that delays in enumeration were endogenous, which is important if the likelihood of observing a treatment effect is sensitive to the timing of enumeration. If, for example, home visits to administer questionnaires were continually rescheduled for some especially entrepreneurial households who were often away from home working, the delays can be at least partly attributed to the household’s entrepreneurial spirit, which is also likely associated with our outcomes of interest. If delays were endogenous and varied by treatment group, the estimated effects of SQ-LNS on our outcomes of interest would be biased. To assess this possibility, we regressed the number of months from the birth of the target baby to

enumeration on the treatment indicator variable using pooled OLS with cluster-robust standard errors. For household expenditures, income, and the sibling anthropometric measurements, we fail to reject the null that the timing of enumeration relative to the birth of the target baby was the same for households who received SQ-LNS compared to those who did not (unreported), providing evidence that variation in the timing of enumeration was balanced between treatment groups.²⁸

Putting aside the issue of variation in the timing of enumeration, the panel is also unbalanced in the familiar sense of having completely missing observations for some households at various rounds of data collection and for specific questionnaires. Attrition rates at each planned round of data collection (where Round 1 is the planned baseline) are summarized in Table 3. Patterns of attrition included both intermittent missingness and permanent drop-out.

Table 3. Rates of Attrition

Survey	N	Round 1	Round 2	Round 3	Round 4
Income	1282	0.6%	9.1%	18.3%	22.1%
Household Expenditures	724	2.5%	18.8%	26%	
Sibling Anthropometry	432	3.9%	24.1%	29.4%	

There is substantial attrition at each follow-up. Identification of the causal effects of SQ-LNS on our outcomes of interest relies on the group who did not receive SQ-LNS as a counterfactual. That is, the non-SQ-LNS group tells us what the outcomes of group who received SQ-LNS would have been had they not received the treatment.²⁹ The randomization

²⁸ Baseline data collection also occurred after randomization for many households, so we do not observe their true baseline values. These first round variables therefore cannot be used as covariate controls or for exploration of heterogeneous treatment effects. Exceptions are variables that were collected at enrollment (maternal height and weight), time-invariant variables within the time-frame of the trial such as gender and adult education, or variables that can be back calculated to date of enrollment such as age.

²⁹ Or, more accurately in this case, had they received either iron folic-acid or multiple micronutrient tablets.

process establishes a credible counterfactual group, but if attrition was not random, the remaining non-SQ-LNS group may not be a valid counterfactual to the remaining SQ-LNS group, in which case our estimated effects would be biased. For each outcome variable used in this study, we test for differences in the distribution of the first-round value of the outcome variable between the remaining SQ-LNS and non-SQ-LNS groups at each follow-up. This test is an indication of whether or not the non-SQ-LNS group remaining after attrition was still a credible counterfactual to the remaining SQ-LNS group for the outcomes of interest. The exact p-values from Kolmogorov-Smirnov tests for equality of the SQ-LNS and non-SQ-LNS distributions are reported in Table 4.

Table 4. Kolmogorov-Smirnov Test of Equality of Distributions

Round 1 Variable	P-Value at Round 2	P-Value at Round 3	P-Value at Round 4
ln(Maternal Income)	.52	.95	.70
ln(Paternal Income)	.82	.79	.93
ln(PC Household Income)	.10	.20	.39
ln(PC Food Expenditures)	.40	.65	
ln(PC Nutrient-Dense Expenditures)	.26	.31	
ln(PC Frequent Non-Food Expenditures)	.16	.73	
ln(PC Infrequent Term Non-Food Expenditures)	.60	.83	
HAZ	.29	.54	
WAZ	.87	.65	
BMIZ	.64	.43	

Significance codes: *** ($p < .01$), ** ($p < .05$), * ($p < .1$).

The test results show that the distribution of the first round values of each outcome variable are not statistically significantly different between non-attriters in the SQ-LNS group and the non-attriters in the non-SQ-LNS group, implying that despite attrition, the non-SQ-LNS group should still serve as a good counterfactual. However, the p-value of .1 on the test statistic for the distributions of the natural log of per capita daily household income at the second round is potentially disconcerting. A ttest for the difference in means shows that there is no difference

in the average first round values of the natural log of per capita daily household income between the groups observed in the second round ($p = .63$). Nevertheless, the results related to household income should be interpreted with this potential caveat in mind.

4. Empirical Models

As previously described, mothers and babies were randomized into three groups, one in which the mother and her baby received SQ-LNS, one in which the mother received a multiple micronutrient tablet, and one in which the mother received an iron-folic acid tablet. The prescribed daily consumption of the assigned supplement was encouraged at enrollment, but complete adherence to protocol was rare (Adu-Afarwuah et al., 2014). As such, all effects will be interpreted as intent-to-treat (ITT) estimates.

Identification of the ITT effects of targeted maternal and baby provision of SQ-LNS is based on random assignment to the SQ-LNS and non-SQ-LNS treatment groups. For $j = 1, \dots, H$ households and $r = 1, 2, 3$ rounds of data collection,³⁰ our behavioral response variables are estimated via maximum likelihood using the following linear mixed model with household random effects (Rabe-Hesketh and Skrondal, 2012):

$$y_{jr} = \beta_1 LNS_j + \varphi T_{jr} + \delta X_j + \alpha_j + \varepsilon_{jr}. \quad (1)$$

The dependent variable, y_{jr} , is the natural log of the outcome of interest (expenditures or income) for household j at round r . LNS_j is an indicator variable equal to one if the mother-baby dyad in household j was randomized to receive SQ-LNS and zero otherwise. The vector T_{jr} is composed of time-varying covariates: months from enrollment to the date of follow-up data collection and indicators for year and month of enumeration. To improve the precision of our

³⁰ We also ran all ITT regressions with time marked by months from the birth of the target baby instead of planned round of data collection. Setting up the models this way yielded very similar results.

estimates, we also include a vector of time-invariant covariates, X_j , which are maternal years of education, maternal height, and primary language spoken in the household. The parameter α_j is a household-level random effect, and ε_{jr} is an idiosyncratic error. To account for correlation in the error over time for a given household, we cluster the standard errors at the household level.³¹

For food and frequently purchased non-food expenditures, we include all three rounds of data collection since the first round occurred at least a few weeks after randomization for most households and therefore adds information on household behavioral responses to SQ-LNS during pregnancy and early postpartum. The recall period for infrequent non-food expenditures (past 12 months) extended into the pre-treatment period for all households at the first round of data collection, so we exclude the first round of data collection for analyses of infrequent non-food expenditures. For income, we omit the first round of observations since the outcome variables were all based on income typically received from household members in their primary work in the past 12 months, and the first round of income data were collected within the two weeks of randomization for more than half of the households in the sample. In the follow-up rounds, the reference period for the questions on income was since the last visit in which income data were collected.

We also explore whether the effect of treatment on our behavioral response variables varied over the course of the intervention by interacting the treatment indicator, LNS_j , with a

³¹ Clusters should be defined broadly enough to account for variation in both regressors and errors (Cameron and Miller, *Forthcoming* 2015). Given that the study site is relatively small and quite homogeneous, there does not exist a readily definable higher level of household groupings that could be used to define clusters.

quadratic time trend, t_{jr} and t_{jr}^2 , where t_{jr} is the number of months from the birth of the target baby to enumeration³² as follows:

$$y_{jr} = \beta_1 LNS_j + \beta_2 t_{jr} + \beta_3 (LNS_j * t_{jr}) + \beta_4 t_{jr}^2 + \beta_5 (LNS_j * t_{jr}^2) + \varphi T_{jr} + \delta X_j + \alpha_j + \varepsilon_{jr}. \quad (2)$$

Turning to sibling anthropometric outcomes, we use linear mixed models to estimate the sibling spillover effect on BMI-for-age z-score (BMIZ), weight-for-age z-score (WAZ), and length/height-for-age z-score (LAZ). In particular, we estimate the following model:

$$y_{ir} = \beta_1 LNS_i + \beta_2 t_{ir} + \beta_3 (LNS_i * t_{ir}) + \beta_4 t_{ir}^2 + \beta_5 (LNS_i * t_{ir}^2) + \varphi T_{ir} + \delta X_i + \alpha_i + \varepsilon_{ir} \quad (3)$$

for $i = 1, \dots, N$ siblings and $r = 1, 2, 3$ rounds of data collection. As before, LNS_i is an indicator variable equal to one if sibling i 's mother and baby sister/brother were randomized into the SQ-LNS arm of the trial and zero otherwise. We also include a quadratic time trend measured in months from the birth of the target baby to sibling measurement, t_{ir} and t_{ir}^2 , and interact the quadratic time trend with the treatment indicator. The vector T_{ir} is composed of time-varying covariates: change in sibling age from enrollment to measurement, and year and month of measurement. Time-invariant covariates included in the vector X_i are sibling age at maternal enrollment into the trial, change in sibling age from enrollment to measurement, sibling gender, maternal height, maternal BMI at enrollment, and maternal years of education. Finally, α_i is a sibling-level random effect, and ε_{ir} is an idiosyncratic error term.

³²In cases where no date of birth data were available for the target baby because of stillbirth, miscarriage, loss to follow-up at birth, etc., we estimate the date of birth using the mother's enrollment date, her gestational age at enrollment, and the average gestational age at delivery in the sample.

5. Results

5.1 Effect on Household Expenditures

We begin with the effect of the provision of SQ-LNS to the target mother and baby on household expenditures. Figure 3 summarizes unconditional expenditures by round of data collection and by treatment group. Per capita daily household expenditures on food were statistically significantly higher in the SQ-LNS group at the second round ($p < .01$) and the third round ($p < .1$). Expenditures were also higher in the second round ($p < .01$) on a subset of food classified as nutrient-dense (World Health Organization, 2010). Animal-source foods, fruits, vegetables, pulses, and nuts were classified as nutrient-dense. A list of all foods included in each category is available in Table A2 in the appendix. It should be noted that reported food expenditures did not account for home-produced food, but given the semi-urban setting of the trial and the rarity of engagement in agriculture beyond small home gardens in the sample, the role of own-production is not likely to be influential. Finally, there were no differences in average frequent or infrequent non-food expenditures between the SQ-LNS and non-SQ-LNS groups.

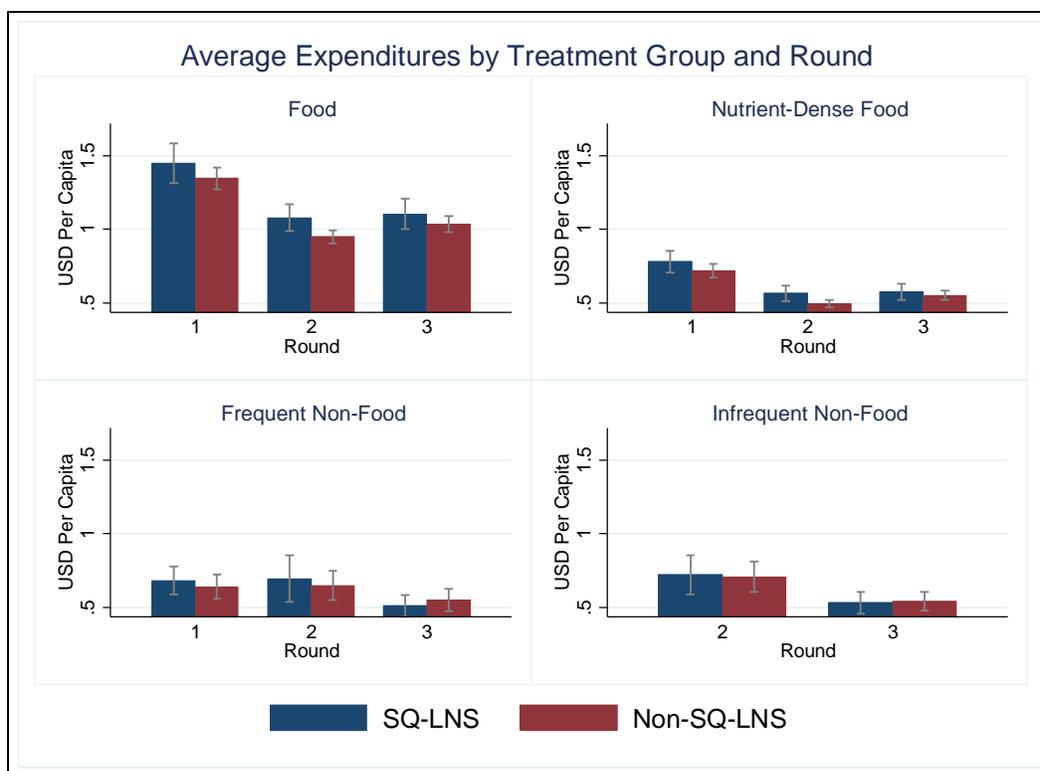


Figure 3. Average Per Capita Household Expenditures (2011 USD) by Treatment Group and Round of Data Collection with 95% Confidence Intervals

Notes: Expenditure data were scheduled to be collected at randomization, six months after the birth of the target baby, and 12 months after birth. Actual first round collection occurred from 0-9 weeks after randomization, and second and third rounds occurred at 5-12mo after birth and 7-after birth, respectively. Nutrient dense foods include animal-source foods, fruits, vegetables, pulses, and nuts. Infrequent non-food expenditures are omitted from the first round because the reference period was the previous 12 months.

We report the ITT effects of SQ-LNS on the log of household expenditures in Table 5.

Based on these estimates, SQ-LNS provided to a mother during pregnancy and the first six months postpartum and to her baby from 6-18 months of age significantly increased per capita daily total food expenditures, nutrient dense food expenditures, and frequent and infrequent non-food expenditures relative to households in which the mother received multiple micronutrient or iron-folic acid tablets. Given that the dependent variable is log-transformed, the coefficients can be interpreted as a percentage change. Taking the exponential of both

sides of the estimated equation,³³ the coefficient estimates imply that, all else constant, targeted SQ-LNS supplementation increased household per capita daily food expenditures by 7.5% and increased per capita daily expenditures on nutrient-dense foods by 8.5%. SQ-LNS also increased expenditures on frequently purchased non-food goods and services by 12.5% and on infrequently purchased goods and services by 10.6%. Based on average expenditures in the first round of data collection, these percentage increases imply that relative to non-SQ-LNS households, the SQ-LNS households were, on average, spending approximately \$0.10 more per person per day on food and \$0.06 per person per day more on nutrient-dense foods. For non-food expenditures, the estimated percentage increase equates to approximately \$0.08 more per person per day for both frequent and infrequent expenditures.

Table 5. Effect of SQ-LNS on Per Capita Daily Household Expenditures

	(1) Food	(2) Nutrient-Dense Food	(3) Frequent Non-Food	(4) Infrequent Non-Food
SQ-LNS	0.072** (0.034)	0.082** (0.037)	0.118** (0.047)	0.101* (0.053)
Constant	0.055 (0.462)	-0.805 (0.513)	-1.703** (0.671)	-1.651** (0.828)
N	1767	1806	1781	1075
Wald Chi ²	365.8	335.2	523.2	507.2
Prob > Chi ²	0.0000	0.0000	0.0000	0.0000

Significance codes: *** (p < .01), ** (p < .05), * (p < .1).

Notes: Dependent variables are natural log of (1) per capita total daily food expenditures, (2) per capita daily expenditures on nutrient-dense food, (3) per capita daily expenditures on frequently purchased non-food items, and (4) per capita daily expenditures on infrequently purchased non-food items. Nutrient dense foods include animal-source foods, fruits, vegetables, pulses, and nuts. Controls for months from enrollment to date of enumeration, month and year of enumeration, maternal height, maternal years of education, and language primarily spoken at home are included in the model (unreported). Cluster-robust standard errors in parentheses.

³³With a natural log-transformed dependent variable and a dichotomous treatment variable, the effect of the treatment is calculated by taking the exponential of both sides of the equation and finding the difference when evaluated at treatment = 1 and treatment = 0. That is, $\exp(\beta)-1$, where β is the estimated coefficient on the treatment variable.

In the context of the randomized trial in Ghana, where households are largely food secure, dietary diversity is poor, micronutrient deficiencies are common, and overweight and obesity are increasing problems (Abrahams et al., 2011; World Bank, 2013), it is insightful to take a more disaggregate look at household food expenditures. Tables 5 and 6 break down the effect of SQ-LNS on expenditures on each of seven nutrient-dense food categories and seven other food categories, respectively. The results show targeted maternal and baby provision of SQ-LNS had a statistically significant positive effect on expenditures on poultry and eggs, fish, milk, and vegetables. Expenditures on categories of food not considered to be nutrient-dense including cereals, oils and fats, starchy staples, spices and sweets were also statistically significantly higher among the SQ-LNS group.

Table 6. Effect of SQ-LNS on Nutrient-Dense Food Category Expenditures

	(1)	(2)	(3)	(4)	(5)	(6)	(7)
	Meat	Poultry and Eggs	Fish	Milk	Fruit	Vegetables	Pulses and Nuts
SQ-LNS	0.005 (0.004)	0.008* (0.004)	0.020*** (0.007)	0.007** (0.003)	0.005 (0.004)	0.013** (0.005)	0.002 (0.002)
Constant	0.028 (0.061)	0.067 (0.059)	0.265** (0.108)	0.005 (0.034)	0.052 (0.052)	0.126** (0.062)	0.068*** (0.023)
N	1823	1818	1821	1823	1814	1819	1823
Wald Chi ²	187.5	199.0	346.4	193.8	260.0	372.3	292.9
Prob > Chi ²	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Significance codes: *** (p < .01), ** (p < .05), * (p < .1).

Notes: Dependent variables are natural log of (per capita daily expenditures + 1) in each food category. Controls for months from enrollment to date of enumeration, month and year of enumeration, maternal height, maternal years of education, and language primarily spoken at home are included in the model (unreported). Cluster-robust standard errors in parentheses.

Table 7. Effect of SQ-LNS on Other Food Category Expenditures

	(1)	(2)	(3)	(4)	(5)	(6)	(7)
	Cereals	Oils and Fats	Starchy Staples	Spices	Sugar and Sweets	Beverages	Street Food
SQ-LNS	0.019*** (0.006)	0.003 (0.002)	0.011** (0.005)	0.004** (0.002)	0.004** (0.002)	0.006 (0.004)	-0.003 (0.005)
Constant	0.158** (0.079)	0.066** (0.027)	0.232*** (0.070)	0.059** (0.025)	0.036* (0.018)	0.080* (0.044)	0.068 (0.063)
N	1812	1820	1814	1821	1822	1807	1822
Wald Chi ²	212.7	193.9	244.0	398.8	139.9	263.7	151.1
Prob > Chi ²	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Significance codes: *** (p < .01), ** (p < .05), * (p < .1).

Notes: Dependent variables are natural log of (1 + per capita daily expenditures) in each food category. Controls for months from enrollment to date of enumeration, month and year of enumeration, maternal height, maternal years of education, and language primarily spoken at home are included in the model (unreported). Cluster-robust standard errors in parentheses.

Finally, we explore heterogeneity in the effect of the targeted intervention on household expenditures relative to the birth of the target baby. In Figure 4, we graphically present the average effects of SQ-LNS on food expenditures by the number of months from the birth of the target baby. The regression results are available in Table A3 in the appendix.

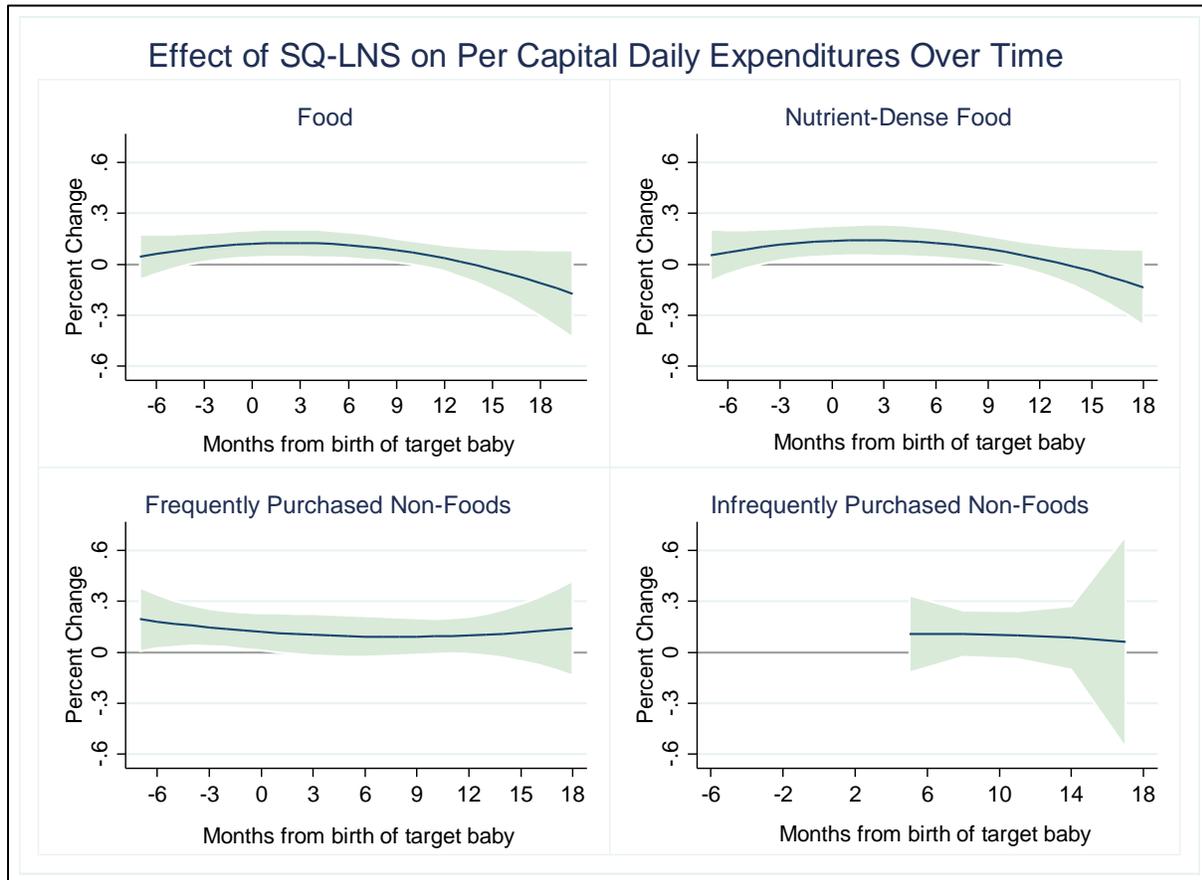


Figure 4. Effect of SQ-LNS on Expenditures by Month with 95% Confidence Interval

As is evident in the top two panels of the figure, the average effect of SQ-LNS on food and nutrient-dense food expenditures became statistically significantly positive during pregnancy and peaked a few months after the birth of the target baby. After that point, the effect dampened, though continued to be statistically significant, until the baby was around ten months old. After that time, the effect of SQ-LNS on food and nutrient-dense food expenditures was not statistically different from zero. Expenditures on frequently purchased non-food items,

shown in the lower-left panel of Figure 4, show the opposite relationship; the effect of SQ-LNS on non-food expenditures was u-shaped, being highest before the birth of the target baby and then decreasing until becoming statistically zero a few months after birth and then picking back up again as the target baby aged into toddlerhood.

5.2 Effect on Income

Given the statistically significant increase in expenditures among the SQ-LNS group, the next question logically centers on how the increased expenditures were funded. Since both food and non-food expenditures were higher in the SQ-LNS group, this suggests households were not simply reallocating their budget shares between food and non-food items.

Regressions of food as a percentage of total expenditures (results available in Table A4 of the appendix) confirm that there was no difference in the percentage of total expenditures allocated to food between households in the SQ-LNS and non-SQ-LNS groups. We therefore turn to an analysis of the effect of SQ-LNS on labor income to explore whether a difference in income among SQ-LNS households might have made the higher expenditures possible. In particular, we examine the effect of the intervention on the labor income of the target mother, her husband, and total per capita household income. Summary statistics by round are presented graphically in Figure 5, and regression results are presented in Table 8.

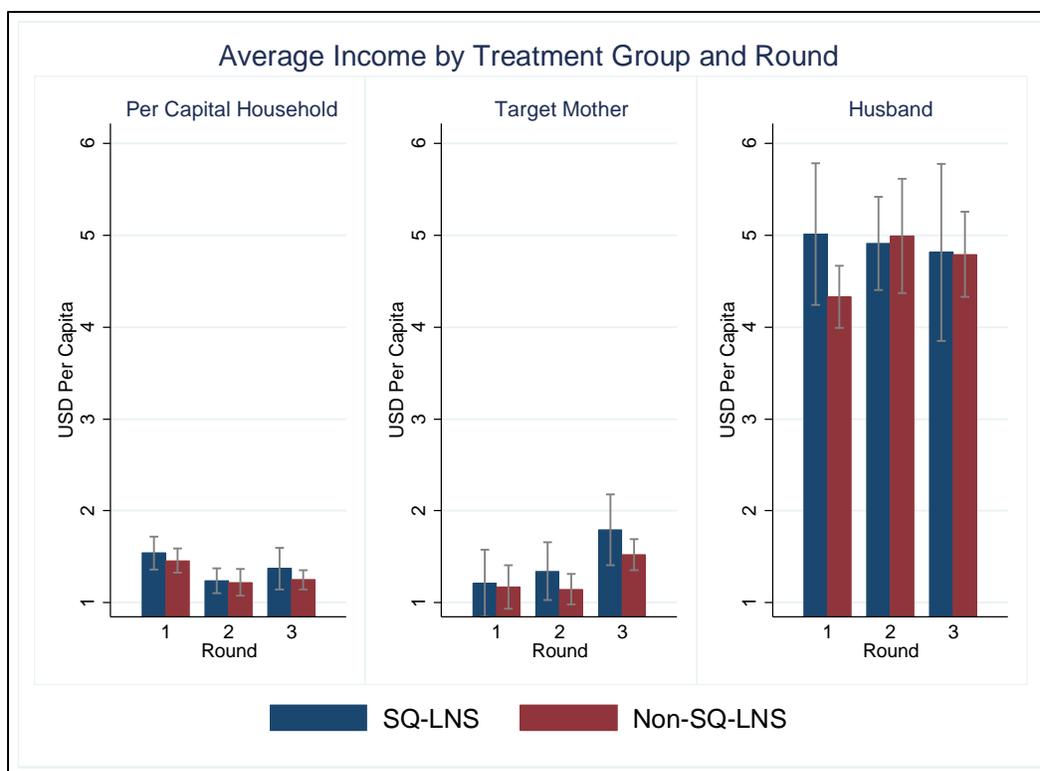


Figure 5. Average Income (2011 USD) by Round and Treatment Group

Significance codes for difference in means between the treatment groups: *** ($p < .01$), ** ($p < .05$), * ($p < .1$).

Notes: Follow-up income data were scheduled to be collected at approx. the 35th week of the target mother's pregnancy, 6 months after the birth of the target baby, and 18 months after birth. Actual collection occurred at 3 months before birth to 3 months after birth for the first follow-up, 5-12 months after the birth of the target baby for the second follow-up, and 6-22 months after birth for the third follow-up.

The regression results in Table 8³⁴ show per capita household income and paternal income were statistically significantly higher ($p < .1$) among SQ-LNS households, while SQ-LNS had no effect on the income of target mothers. The dependent variable is the log of income, so the coefficients are interpreted as percentage changes. Specifically, ceteris paribus, per capita household income was, on average, 4% higher in SQ-LNS households, and among households in which the target mother had a husband, his income was 6.3% higher. Using income estimates

³⁴ Note that the results in Table 8 are based on the full sample of households who took part in the randomized trial. If we limit the sample to households for whom expenditure data were collected (recall that this was a random subsample), the results, shown in Table A5 in the appendix, again show a positive and statistically significant effect on household and paternal income, and the magnitude of these effects is slightly larger in the subsample.

from the first round as a benchmark,³⁵ the percent increases imply per capita daily household income was \$0.12 higher in SQ-LNS households and paternal daily income was \$0.35 higher. The husbands in the sample were primarily drivers, self-employed carpenters or masons, shop owners, and mechanics. Other household members were predominantly petty traders. Each of these jobs is conceivably flexible in terms of time spent working, and the most likely source of higher income working in these jobs is an increase in labor supply.

Table 9. Effect of SQ-LNS on Income

	(1) Per Capita Household	(2) Target Mother	(3) Husband
SQ-LNS	0.039* (0.022)	0.028 (0.032)	0.059* (0.035)
Constant	0.440 (0.309)	0.792* (0.433)	0.734 (0.470)
N	3170	3192	2137
Wald Chi ²	337.5	301.5	639.9
Prob > Chi ²	0.0000	0.0000	0.0000

Significance codes: *** (p < .01), ** (p < .05), * (p < .1).

Notes: Dependent variables are natural log of daily (1) 1+ per capita household income, (2) 1+ income of target mother, and (3) 1 + income of target mother's husband. Controls for months from enrollment to date of enumeration, month and year of enumeration, maternal height, maternal years of education, and language primarily spoken at home are included in the model (unreported). Cluster-robust standard errors in parentheses.

As with the expenditure data, we also explore heterogeneity in the effect of SQ-LNS on income by months from the birth of the target baby. The results, presented in Table 10, show that the effect of SQ-LNS on any of the three income variables did not vary significantly by months from the birth of the target baby.

³⁵To deal with zeros, we transformed the income data as $\ln(y+c)$, where y is income and c is a constant set to 1. Therefore, when comparing the estimated effect to first round average income numbers, we calculate the implied increase on $y+1$.

Table 10. Timing of the Effect of SQ-LNS on Income

	(1) Per Capita Household	(2) Target Mother	(3) Husband
SQ-LNS	0.044 (0.028)	0.011 (0.038)	0.075* (0.043)
Months	-0.054*** (0.013)	-0.068*** (0.019)	-0.040** (0.020)
SQ-LNS X Months	-0.000 (0.005)	0.006 (0.008)	-0.001 (0.009)
Months ²	0.001*** (0.000)	0.001*** (0.000)	0.000 (0.000)
SQ-LNS X Months ²	-0.000 (0.000)	-0.000 (0.000)	-0.000 (0.000)
Constant	0.205 (0.317)	0.474 (0.445)	0.507 (0.487)
N	3170	3192	2137
Wald Chi ²	403.4	328.9	463.2
Prob > Chi ²	0.0000	0.0000	0.0000

Significance codes: *** (p < .01), ** (p < .05), * (p < .1).

Notes: Dependent variables are natural log of (1) daily per capita household income + 1, (2) daily income of target mother + 1, and (3) daily income of target mother's husband + 1. Months indicates the number of months from the birth of the target baby to the date of enumeration. Controls for months from enrollment to date of enumeration, month and year of enumeration, maternal height, maternal years of education, and language primarily spoken at home are included in the model (unreported). Cluster-robust standard errors in parentheses.

5.3 Spillover Effect on Sibling Nutritional Status

We have shown that food expenditures were higher in households in which the target mother and baby were provided with SQ-LNS relative to those in which the target mother received multiple micronutrient or iron-folic acid tablets. Estimates of the effect of the targeted intervention on expenditures for the subsample of households with a sibling under age five at maternal enrollment, shown in Table A6 of the appendix, show a similar pattern of effects, though they are not as precisely estimated given the smaller sample size.

We now explore whether these observed changes in household behavior generated intrahousehold spillover effects onto the nutritional status of the youngest sibling under age five in the household. Average height-for-age z-scores (HAZ), weight-for-age z-scores (WAZ),

and BMI-for-age z-scores (BMIZ) are presented by round of follow-up in Figure 6. On average, the BMIZ of siblings in SQ-LNS households was statistically significantly lower ($p < .1$) at the third round, but there are otherwise no statistically significant differences in the averages of these indicators of nutritional status between siblings in SQ-LNS and non-SQ-LNS households.

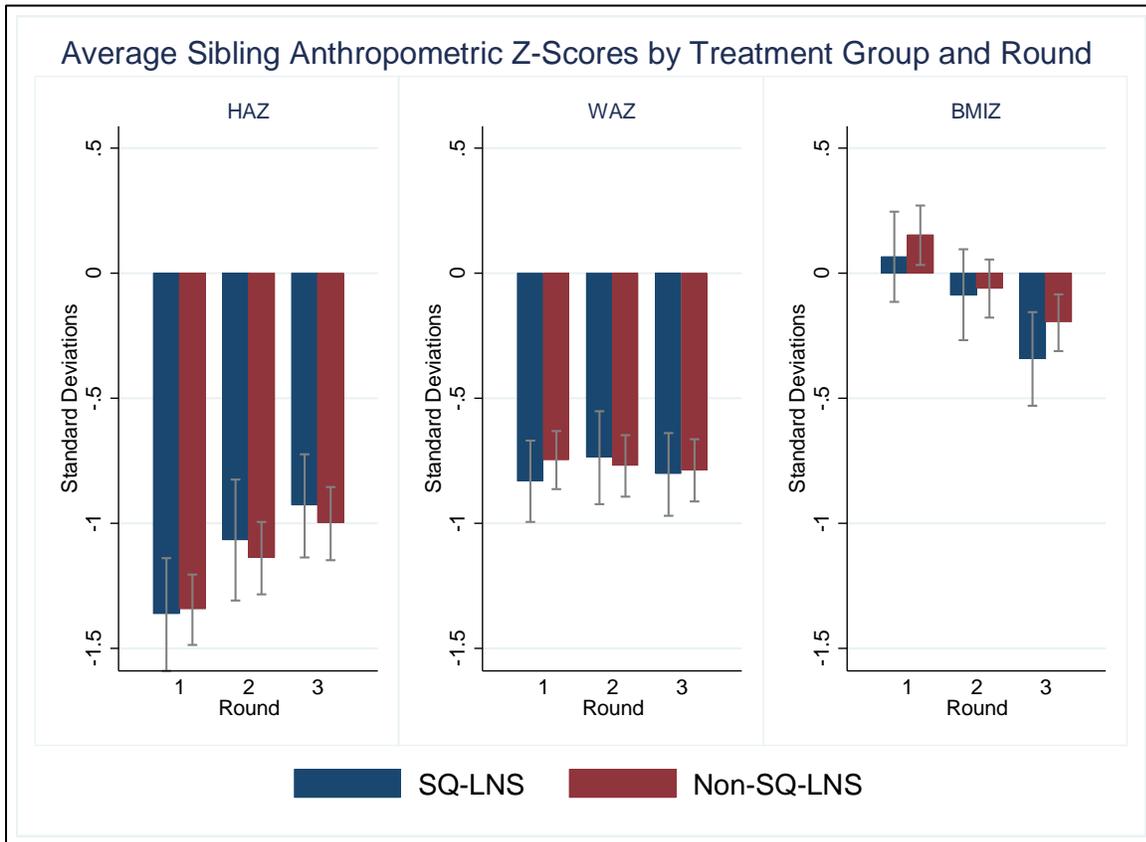


Figure 6. Average Sibling Anthropometric Z-Scores by Round and Treatment Group

Notes: Sibling measurements were scheduled to be collected at randomization and then again at six and 18 months after the birth of the target baby. For the first round, actual collection occurred from the week before randomization to 3.7 months after the birth of the target baby. The second and third rounds were collected from 4-19mo after birth and 9-23mo after birth, respectively.

Table 10. Spillover Effect of SQ-LNS on Sibling Anthropometric Z-Scores

	(1) HAZ	(2) WAZ	(3) BMIZ
SQ-LNS	0.039 (0.114)	-0.037 (0.089)	-0.090 (0.094)
Months	-0.206*** (0.067)	-0.214*** (0.048)	-0.126*** (0.045)
SQ-LNS X Months	0.003 (0.006)	-0.000 (0.005)	-0.002 (0.008)
Months ²	0.000* (0.000)	-0.000 (0.000)	-0.000 (0.000)
SQ-LNS X Months ²	-0.000 (0.000)	-0.000 (0.000)	-0.000 (0.001)
Constant	-16.427*** (1.562)	-10.540*** (1.196)	0.076 (1.277)
N	1031	1043	1028
Wald Chi ²	214.8	133.7	434.0
Prob > Chi ²	0.0000	0.0000	0.0000

Significance codes: *** (p < .01), ** (p < .05), * (p < .1).

Notes: Months indicates the number of months from the birth of the target baby to the sibling measurement. Controls for sibling age at maternal enrollment into the trial, change in sibling age (in months) from maternal enrollment to the anthropometric measurement, sibling gender, month and year of measurement, maternal height, maternal BMI at enrollment, and maternal education are included in the model (unreported). Cluster-robust standard errors in parentheses.

The regression results presented in Table 10 show no spillover effects of the targeted intervention on the nutritional status of siblings. We also explore heterogeneity in the spillover effect of SQ-LNS by three factors: sibling age, sibling gender, and maternal height. In early childhood, growth is nonlinear in age (Cheung, 2013), and given that the siblings ranged in age from 11-59 months at maternal enrollment into the trial, spillover effects of the intervention may have been more or less pronounced depending on the child's age. If intrahousehold resource allocation among children favors one gender over the other, sibling gender also represents a potential source of heterogeneity in the spillover effect. Finally, several studies have shown an improvement in catch-up growth among children with taller mothers. Adair (1999), for example, showed that stunted Filipino children with taller mothers exhibited

stronger 'catch-up growth', or an acceleration in growth that moves a child closer to his/her growth potential after a period of deceleration, from ages 2-12 than children with mothers of shorter stature. Crookston et al. (2010) also found maternal height to be a positive and significant predictor of catch-up growth at age 4.5-6 among Peruvian children who were stunted in early childhood. These studies suggest the potential for a differential response to improved nutrition by maternal height.

Regression results for heterogeneity by sibling gender, age, and maternal height or BMI are available in tables A7-A10 in the appendix. We find no statistically significant heterogeneity in sibling anthropometric status by age or gender. However, the regression results reported in Table A9 in the appendix show a positive and significant ($p < .01$) coefficient on the interaction between the treatment indicator and maternal height indicates that, holding all else constant, the targeted provision of maternal and baby SQ-LNS had a positive effect on the length-for-age z-score of siblings with relatively taller mothers. Figure 7 demonstrates the relationship graphically and shows that the average effect of SQ-LNS on HAZ is positive and statistically significant for siblings with mothers approximately 164cm (~5'4") and taller.

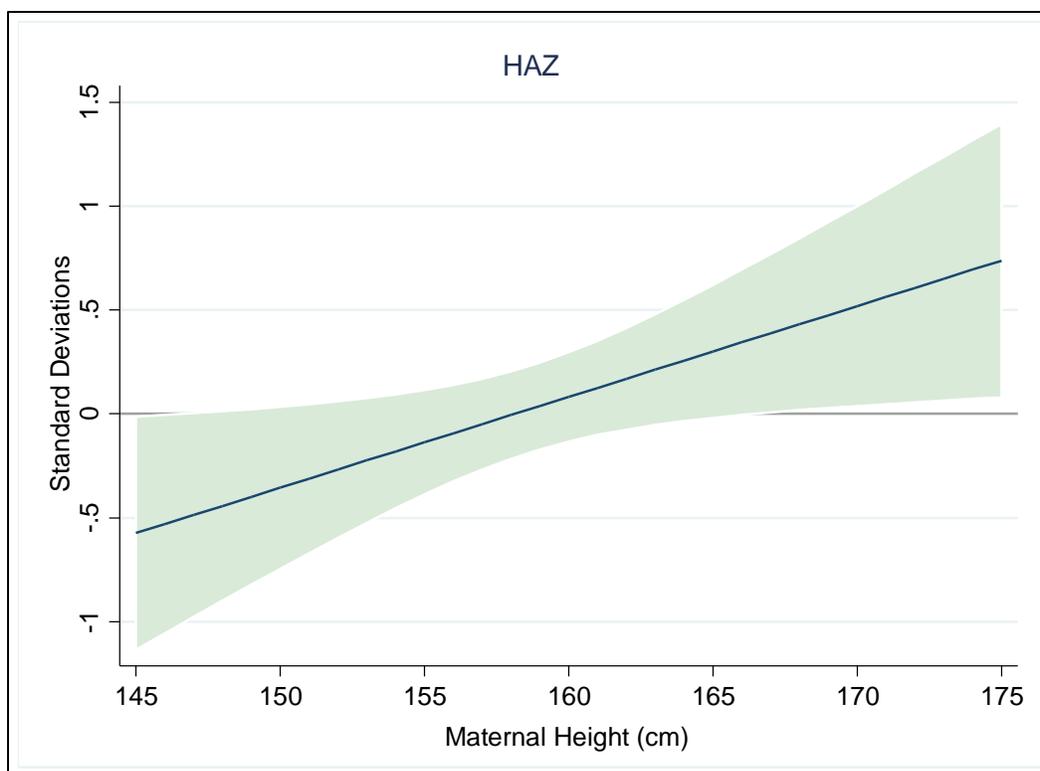


Figure 7. Effect of SQ-LNS on Sibling HAZ by Maternal Height with 95% Confidence Interval

The heterogeneity in the spillover effect on sibling HAZ by maternal height has several possible interpretations. One interpretation is that, given their genetic potential, siblings with taller mothers were more responsive to an increase in consumption of nutrient dense foods. This interpretation echoes Adair (1999) who found that among a population who was experiencing improvements in socioeconomic conditions including rising incomes and increased access to services like electricity and piped water, stunted children with taller mothers exhibited catch-up growth while the likelihood of catch-up growth among children of short mothers was very low. Another interpretation is that maternal height is a proxy for socioeconomic status, and better-off households are more likely to have in place the environmental factors (proper sanitation and hygiene, good child care practices, etc.) necessary for improvements in nutrition to have effects on growth.

6. Possible Drivers of Household Behavioral Responses

We have demonstrated higher household expenditures on both food and non-food items coupled with higher income in households in which the mother and her baby received SQ-LNS. Several hypotheses as to the mechanisms driving these behavioral responses are possible, although we are unable to empirically rule out most of them due to data limitations. Perhaps the most obvious is that if households were able to liquidate SQ-LNS in an informal market, the money could have been used to fund additional food and non-food expenditures. However, not only does anecdotal evidence suggests households were not selling SQ-LNS, but converting SQ-LNS to cash would have required substantial demand for the products. Given the complete novelty of SQ-LNS, it is unlikely private demand could have sustained the increased expenditures.

Households in which the mother and baby received SQ-LNS were different from those in which the mothers received tablets in that SQ-LNS contributed free additional calories to the household's total food basket. Therefore, even if households were not selling SQ-LNS, another apparent mechanism is an income effect associated with the transfer of calories, whereby the calories contained in SQ-LNS would offset the need to purchase those calories, thereby increasing the household budget. This hypothesis is also unlikely because the quantity of extra calories per day (118 kcal) was a very small percentage of a typical household's total caloric needs. Assume, for example, a four-member households composed of one pregnant adult female, one adult male, one child under age five, and one child between 5-9 years old. Average daily caloric needs of this household would be approximately 7885 kcal (UNHCR et al., 2004).

The additional 118 calories per day would offset approximately 1.5% of this household's total caloric needs, so any income effect would have been quite small.

Another hypothesis relates to the potential effects of SQ-LNS on maternal and baby healthiness. The demands of a newborn on a mother's time are great, which likely has repercussions for how other household members can use their time (e.g., if the mother is busy tending to her baby, it might fall on other household members to visit the market, clean, prepare food, manage the household business, etc.). The demands on a mother's time are likely magnified if the baby is unhealthy, so if mothers and babies who consumed SQ-LNS were generally less sick than those in the non-SQ-LNS group, this may have, in essence, freed up additional household time, allowing for an increase in the household's labor supply. The most common jobs reported in our sample – petty traders, drivers, artisans, shop owners, etc. – are, by and large, jobs that allow for flexibility in the time devoted to the job. Data on maternal and baby morbidity were also collected as part of the trial but have not yet been analyzed. And although the results of the analysis of those data will either lend some credibility to or contradict this hypothesis, time-use data would be necessary to legitimately test it.

A final hypothesis is related to the way SQ-LNS were consumed relative to the multiple micronutrient and iron-folic acid tablets. Mothers were advised to mix SQ-LNS with food and were told at the onset of the trial to eat foods like eggs, fruits, and vegetables whenever possible. The same message was conveyed again at 36 weeks of gestation and again when their babies began consuming SQ-LNS at six months. While mothers in the group receiving tablets were also given the same information about feeding themselves and their babies nutritious foods, perhaps this message (and food in general) became more salient for mothers in the SQ-

LNS group as they fortified food with SQ-LNS day-in and day-out. Difference in salience may have influenced the way mothers in the SQ-LNS group thought about the role of food in the production of health and motivated them to increase household expenditures on food via an increase in the household's labor supply. Since we found no effect of SQ-LNS on the income of target mothers, the plausibility of this hypothesis rests on the mother's ability to spur changes in the labor supply of other household members. Women who may have been in a good position in the household to spur such changes include more educated women, older women, and heads of household, all potential indicators of bargaining power within the household. Regressions of heterogeneity in the effect on household and paternal income show no difference in the effect by these maternal characteristics.

7. Limitations

Before discussing the implications of this collection of results, we consider limitations of the work. Our study population is not a random sample of women in this area of Ghana, and certainly not of Ghana as a whole. Each woman in the study was actively seeking timely, formal prenatal care, and the characteristics and preferences of this sample of women, as well as the constraints they faced, were potentially different from women who do not seek out prenatal care. Similarly, households in the sample were, on average, food secure, and it is not clear whether this type of targeted intervention might elicit similar behavioral responses in less food secure settings.

Another consideration relates to the fact that the estimated effects of SQ-LNS on household behavior and sibling nutritional status were generated in the context of a randomized controlled trial in which SQ-LNS were delivered free of charge to households on a

weekly or bi-weekly basis. In a more realistic distribution system, SQ-LNS would likely have higher time and, perhaps, financial costs that would be borne by the household (Lybbert, 2011). Depending on the specific mechanisms behind the observed behavioral responses, the way households responded to the intervention in the context of the randomized trial may not carry over when private costs of consuming SQ-LNS are higher.

A final limitation of the study is that we are unable to address the intrahousehold distribution of food. While our results showed an increase in nutrient-dense food expenditures, our data do not allow for an assessment of how the food was distributed within the household and thus the extent to which this behavioral response influenced the well-being of specific household members is unclear.

8. Conclusions

Taken together, the results presented in this study are striking: the provision of targeted maternal and baby SQ-LNS induced a labor response, particularly among fathers, that increased household income. Households also increased their expenditures on food, including some nutrient-dense foods, and non-food goods and services. The effect on food expenditures peaked a few months after the birth of the target baby and attenuated as the baby got older. And while on average the targeted intervention did not generate spillover effects on the nutritional status of non-targeted siblings in the household, we found evidence of improvements in the linear growth of children with mothers of relatively tall stature. In what follows, we discuss the relevance of these findings and distill potential policy implications.

Like many developing countries, Ghana is undergoing a nutrition transition in which food consumption patterns are changing to include more processed carbohydrates, sugars, and

fats while levels of physical activity are simultaneously declining (Abrahams et al., 2011). The result is the 'double burden of malnutrition', characterized by increasing rates of overweight, obesity, and other diet-related chronic diseases coexisting with still relatively high levels of stunting and micronutrient deficiencies (Black et al., 2013). In this context, it is essential to encourage healthy dietary choices – choices to help prevent both undernutrition and overnutrition – in order to stem the myriad private and social costs associated with the double burden.

SQ-LNS is one tool available to help prevent undernutrition in mothers and young children, and if its consumption by these specific household members also brings about desirable changes in household food consumption patterns, the 'value' of SQ-LNS may be much higher than what would be suggested based on maternal and child outcomes alone. Households in our sample did increase expenditures on foods like starchy staples and sweets that would be considered undesirable from a nutritional perspective, but households also chose to spend more on nutrient-dense foods like poultry and eggs, fish, milk, and vegetables. Given that the nutrition education provided by the intervention was very low intensity and that the limited information that was provided was identical across the treatment groups, these results suggest that something about preparing and consuming food with SQ-LNS every day – perhaps a physical effect or a psychological one – created circumstances in which households were willing to trade labor for additional consumption. If more could be learned about the specific mechanisms that were at work in driving these behavioral responses, policy makers might be able to leverage them in designing other tools to promote healthy diets.

More broadly, the findings in this study point up the value in collecting supplementary data alongside targeted interventions to assess behavioral responses and spillover effects. For targeted nutrition interventions, collecting food consumption and anthropometric data on all household members would provide a detailed look into intrahousehold food allocation and the effect on the nutritional status of all household members. Ex ante identification of relevant behavioral response variables is less obvious, but data on expenditures, income, and time use are all likely candidates, and economic theory and previous findings can be called upon to expand the list based on the specific intervention. Armed with a deeper understanding of how a targeted nutrition intervention plays out within the household, researchers can provide policy makers with a more comprehensive assessment of the costs and benefits associated with an intervention.

References

- Abrahams, Z., Mchiza, Z., & Steyn, N. 2011. Diet and Mortality Rates in Sub-Saharan Africa: Stages in the Nutrition Transition. *BMC Public Health*, 11, 801.
- Adair, L. S. 1999. Filipino Children Exhibit Catch-up Growth from Age 2 to 12 Years. *The Journal of Nutrition*, 129, 1140-1148.
- Addo, O. Y., Stein, A. D., Fall, C. H., Gigante, D. P., Guntupalli, A. M., Horta, B. L., Kuzawa, C. W., Lee, N., Norris, S. A., Prabhakaran, P., Richter, L. M., Sachdev, H. S., & Martorell, R. 2013. Maternal Height and Child Growth Patterns. *The Journal of Pediatrics*, 163, 549-554.e541.
- Adhvaryu, A., & Nyshadham, A. 2014. Endowments at Birth and Parents' Investments in Children. *The Economic Journal*, 10.1111/ecoj.12186.
- Adu-Afarwuah, S., Lartey, A., Okronipa, H., Ashorn, P., Zeilani, M., Arimond, M., Peerson, J., Vosti, S., & Dewey, K. G. 2014. Lipid-Based Nutrient Supplement Increases the Birth Size of Infants of Primiparous Women in Ghana.
- Adu-Afarwuah, S., Lartey, A., Zeilani, M., & Dewey, K. G. 2011. Acceptability of Lipid-Based Nutrient Supplements (Lns) among Ghanaian Infants and Pregnant or Lactating Women. *Maternal & Child Nutrition*, 7, 344-356.
- Arimond, M., Zeilani, M., Jungjohann, S., Brown, K. H., Ashorn, P., Allen, L. H., & Dewey, K. G. 2013. Considerations in Developing Lipid-Based Nutrient Supplements for Prevention of Undernutrition: Experience from the International Lipid-Based Nutrient Supplements (Ilins) Project. *Maternal & Child Nutrition*, 10.1111/mcn.12049.
- Bhutta, Z. A., Das, J. K., Rizvi, A., Gaffey, M. F., Walker, N., Horton, S., Webb, P., Lartey, A., & Black, R. E. 2013. Evidence-Based Interventions for Improvement of Maternal and Child Nutrition: What Can Be Done and at What Cost? *The Lancet*, 382, 452-477.
- Black, R. E., Victora, C. G., Walker, S. P., Bhutta, Z. A., Christian, P., de Onis, M., Ezzati, M., Grantham-McGregor, S., Katz, J., Martorell, R., & Uauy, R. 2013. Maternal and Child Undernutrition and Overweight in Low-Income and Middle-Income Countries. *The Lancet*, 382, 427-451.
- Briend, A., & Collins, S. 2010. Therapeutic Nutrition for Children with Severe Acute Malnutrition: Summary of African Experience. *Indian Pediatrics*, 47, 655-659.
- Cameron, C., & Miller, D. *Forthcoming* 2015. A Practitioner's Guide to Cluster-Robust Inference. *Journal of Human Resources*.
- Chaudhuri, A. 2009. Spillover Impacts of a Reproductive Health Program on Elderly Women in Rural Bangladesh. *Journal of Family and Economic Issues*, 30, 113-125.
- Cheung, Y. B. 2013. Introduction. In *Statistical Analysis of Human Growth and Development* (doi:10.1201/b15979-2 10.1201/b15979-2, pp. 1-20): Chapman and Hall/CRC.
- Coates, J., Swindale, A., & Bilinsky, P. 2007. Household Food Insecurity Access Scale (Hfias) for Measurement of Food Access: Indicator Guide. Washington, DC: Food and Nutrition Technical Assistance Project (FANTA), Academy for Educational Development.
- Crookston, B. T., Penny, M. E., Alder, S. C., Dickerson, T. T., Merrill, R. M., Stanford, J. B., Porucznik, C. A., & Dearden, K. A. 2010. Children Who Recover from Early Stunting and

- Children Who Are Not Stunted Demonstrate Similar Levels of Cognition. *The Journal of Nutrition*, 140, 1996-2001.
- de Onis, M., & Blössner, M. 1997. Who Global Database on Child Growth and Malnutrition. Geneva, Switzerland: The World Health Organization.
- Dewey, K. G., & Arimond, M. 2012. Lipid-Based Nutrient Supplements: How Can They Combat Child Malnutrition? *PLoS Med*, 9, e1001314.
- Fitzsimons, E., Malde, B., Mesnard, A., & Vera-Hernandez, M. 2014. Household Responses to Information on Child Nutrition: Experimental Evidence from Malawi. *IFS Working Paper W14/02*.
- Ghana Statistical Service, G. H. S., and ICF Macro. 2009. Ghana Demographic and Health Survey 2008. Accra, Ghana: Ghana Statistical Service, Ghana Health Service, and ICF Macro.
- Grantham-McGregor, S., Cheung, Y. B., Cueto, S., Glewwe, P., Richter, L., & Strupp, B. 2007. Developmental Potential in the First 5 Years for Children in Developing Countries. *The Lancet*, 369, 60-70.
- Hoddinott, J., Behrman, J. R., Maluccio, J. A., Melgar, P., Quisumbing, A. R., Ramirez-Zea, M., Stein, A. D., Yount, K. M., & Martorell, R. 2013. Adult Consequences of Growth Failure in Early Childhood. *The American Journal of Clinical Nutrition*, 10.3945/ajcn.113.064584.
- Kazianga, H., de Walque, D., & Alderman, H. 2014. School Feeding Programs, Intrahousehold Allocation and the Nutrition of Siblings: Evidence from a Randomized Trial in Rural Burkina Faso. *Journal of Development Economics*, 106, 15-34.
- Lybbert, T. J. 2011. Hybrid Public-Private Delivery of Preventative Lipid-Based Nutrient Supplement Products: Key Challenges, Opportunities and Players in an Emerging Product Space. *SCN News*, 32-39.
- Mei, Z., & Grummer-Strawn, L. 2007. Standard Deviation of Anthropometric Z-Scores as a Data Quality Assessment Tool Using the 2006 Who Growth Standards: A Cross Country Analysis. *Bull World Health Organ*, 85, 441-448.
- O'Donnell, O., van Doorslaer, E., Wagstaff, A., & Lindelow, M. 2008. Analyzing Health Equity Using Household Data. A Guide to Techniques and Their Implementation. Washington, DC: The World Bank.
- Rabe-Hesketh, S., & Skrondal, A. 2012. *Multilevel and Longitudinal Modeling Using Stata, Volumes I and II, Third Edition: Multilevel and Longitudinal Modeling Using Stata, Volume II ... Counts, and Survival, Third Edition*: Stata Press.
- UNHCR, UNICEF, WFP, & WHO. 2004. Food and Nutrition Needs in Emergencies. (<http://whqlibdoc.who.int/hq/2004/a83743.pdf>).
- Victora, C. G., de Onis, M., Hallal, P. C., Blössner, M., & Shrimpton, R. 2010. Worldwide Timing of Growth Faltering: Revisiting Implications for Interventions. *Pediatrics*, 125, e473-e480.
- Vyas, S., & Kumaranayake, L. 2006. Constructing Socio-Economic Status Indices: How to Use Principal Components Analysis. *Health Policy and Planning*, 21, 459-468.
- World Bank. 2006. Repositioning Nutrition as Central to Development: A Strategy for Large Scale Action. Washington, DC: The World Bank.
- World Bank. 2010. *What Can We Learn from Nutrition Impact Evaluations?* (doi:10.1596/978-0-8213-8406-0): The World Bank.
- World Bank. 2013. Ghana - Nutrition at a Glance. Washington, DC: The World Bank.

World Health Organization. 2008. Training Course on Child Growth Assessment. Geneva, Switzerland: The World Health Organization.

World Health Organization. 2010. Indicators for Assessing Infant and Young Child Feeding Practices: Part 2 - Measurement. Geneva, Switzerland: World Health Organization.

Appendix

Table A1. Nutrient Composition of LNS-Child, LNS-P&L, Multiple Micronutrient Tablets, and Iron-Folic Acid Tablets

Nutrient	Nutrient Content per Daily Ration			
	LNS-Child	LNS-P&L	Multiple Micronutrient Tablet	Iron-Folic Acid Tablet
Daily Ration (g/day)	20	20		
Total energy (kcal)	118	118		
Protein (g)	2.6	2.6		
Fat (g)	9.6	10		
Linoleic acid (g)	4.46	4.59		
α -Linoleic acid (g)	0.58	0.59		
Vitamin A (μ g RE)	400	800	800	
Vitamin C (mg)	30	100	100	
Vitamin B ₁ (mg)	0.3	2.8	2.8	
Vitamin B ₂ (mg)	0.4	2.8	2.8	
Niacin (mg)	4	36	36	
Folic acid (mg)	80	400	400	400
Pantothenic acid (mg)	1.8	7	7	
Vitamin B ₆ (mg)	0.3	3.8	3.8	
Vitamin B ₁₂ (μ g)	0.5	5.2	5.2	
Vitamin D (IU)	200	400	400	
Vitamin E (mg)	6	20	20	
Vitamin K (μ g)	30	45	45	
Iron (mg)	6	20	20	60
Zinc (mg)	8	30	30	
Cu (mg)	0.34	4	4	
Calcium (mg)	280	280		
Phosphorus (mg)	190	190		
Potassium (mg)	200	200		
Magnesium (mg)	40	65		
Selenium (μ g)	20	130	130	
Iodine (μ g)	90	250	250	
Manganese (mg)	1.2	2.6	2.6	

Sources: Adu-Afarwuah et al. (2014); Adu-Afarwuah et al. (2011)

Table A2. Foods Included in Each Food Expenditure Category

Cereals	Fruits	Spices
[101] Guinea corn/Sorghum	[701] Coconut	[1101] Salt
[102] Maize/corn dough	[702] Banana	[1102] Maggie, Royco
[103] Millet	[703] Orange/tangerine	[1103] Curry powder
[104] Rice (local and imported)	[704] Pineapple	[1104] Ginger
[105] Bread, buns	[705] Mango	Sugars and Sweets
[106] Biscuits	[706] Avocado pear	[1105] Sugar (cube, granulated)
[107] Flour (wheat)	[707] Watermelon	[1106] Honey
[108] Baby food (cerelac, etc)	[708] Pawpaw	[1107] Jam
[109] Other cereal products	[709] Other fruits not canned	[1108] Fanice, FanYogo
Meat	[710] Canned or processed fruits	[1109] Chocolate
[201] Corned beef	Vegetables	[1110] Other
[202] Pork	[801] Cocoyam leaves (kontomire)	Beverages
[203] Beef	[802] Garden eggs	[1201] Coffee, tea, milo
[204] Goat meat	[803] Okro	[1204] Minerals (fanta, coke, malta)
[205] Mutton	[804] Carrots	[1206] Fruit juices
[206] Bushmeat/wild game	[805] Pepper (fresh or dried)	[1207] Mineral water
[207] Other meat (dog, cat, etc)	[806] Onions	[1208] Schnapps, gin, whisky
Poultry and eggs	[807] Tomatoes (Fresh)	[1209] Palm wine, pito
[301] Chicken	[808] Tin tomatoes	[1211] Akpeteshie and local spirits
[302] Game birds	[809] Mushrooms	[1213] Beer and Guinness
[303] Eggs	[810] Other vegetables	[1215] Other beverages
[304] Other poultry	Starchy Staples	Street Food
Fish	[901] Cassava	[1301] Cooked rice and stew
[401] Crustaceans (lobster, crab)	[902] Cocoyam	[1302] Fufu and soup
[402] Fish	[903] Plantain	[1303] Emo Tuo (rice balls) and soup
[403] Fish (canned)	[904] Yam	[1304] Tuozafo and soup
[404] Fish (salted)	[905] Cassava dough	[1305] Banku and soup
[405] Other fish	[906] Gari	[1306] Kenkey
Milk	[907] Other starchy staples	[1307] Koko
[501] Fresh milk	Pulses and Nuts	[1308] Ampesi and stew
[502] Milk powder	[1001] Beans	[1309] Other prepared meal
[503] Baby milk	[1002] Groundnuts	
[504] Tinned milk	[1003] Palm nuts	
[505] Other milk products	[1004] Cola nuts	
Oils and Fats	[1005] Other pulses and nuts	
[601] Coconut oil		
[602] Palm kernel oil		
[603] Palm oil		
[604] Margarine/butter		
[605] Other vegetable oil and fats		

Table A3. Timing of Effect of SQ-LNS on Per Capita Daily Household Expenditures

	(1) Food	(2) Nutrient-Dense Food	(3) Frequent Non-Food	(4) Infrequent Non-Food
LNS	0.120*** (0.041)	0.138*** (0.045)	0.120** (0.056)	0.087 (0.589)
Months	-0.028 (0.018)	-0.040** (0.020)	-0.053** (0.027)	-0.062 (0.085)
LNS X Months	0.004 (0.005)	0.004 (0.006)	-0.007 (0.008)	0.006 (0.132)
Months ²	0.002*** (0.000)	0.002*** (0.000)	-0.001* (0.000)	-0.001 (0.004)
LNS X Months ²	-0.001* (0.001)	-0.001* (0.001)	0.000 (0.001)	-0.000 (0.007)
Constant	-0.132 (0.473)	-1.049** (0.526)	-2.037*** (0.670)	-2.115** (0.904)
N	1766	1805	1780	1075
Wald Chi ²	406.8	389.5	536.5	532.3
Prob > Chi ²	0.0000	0.0000	0.0000	0.0000

Significance codes: *** (p < .01), ** (p < .05), * (p < .1).

Notes: Dependent variables are natural log of (1) per capita total daily food expenditures, (2) per capita daily expenditures on nutrient-dense food, (3) per capita daily expenditures on frequently purchased non-food items, and (4) per capita daily expenditures on infrequently purchased non-food items. Nutrient dense foods include animal-source foods, fruits, vegetables, pulses, and nuts. Months indicates the number of months from the birth of the target baby to the date of enumeration. Controls for months from enrollment to date of enumeration, month and year of enumeration, maternal height, maternal years of education, and language primarily spoken at home are included in the model (unreported). Cluster-robust standard errors in parentheses.

Table A4. Effect on Food as a Percentage of Total Expenditures

	(1) Percent Food	(2) Percent Nutrient- Dense Food
LNS	-0.009 (0.007)	-0.003 (0.005)
Constant	0.893*** (0.099)	0.380*** (0.072)
N	1728	1728
Wald Chi ²	616.2	466.2
Prob > Chi ²	0.0000	0.0000

Significance codes: *** (p < .01), ** (p < .05), * (p < .1).

Notes: Dependent variables are (1) percentage of total expenditures made on food and (2) percentage of total expenditures made on nutrient-dense foods. Nutrient dense foods include animal-source foods, fruits, vegetables, pulses, and nuts. Controls for months from enrollment to date of enumeration, month and year of enumeration, maternal height, maternal years of education, and language primarily spoken at home are included in the model (unreported). Cluster-robust standard errors in parentheses.

Table A5. Effect on Income - Expenditure Subsample

	(1) Per Capita Household	(2) Target Mother	(3) Husband
SQ-LNS	0.065** (0.028)	0.044 (0.043)	0.082* (0.046)
Constant	0.586 (0.418)	0.718 (0.559)	0.367 (0.644)
N	1828	1844	1259
Wald Chi ²	314.6	252.2	793.5
Prob > Chi ²	0.0000	0.0000	0.0000

Significance codes: *** (p < .01), ** (p < .05), * (p < .1).

Notes: Dependent variables are natural log of daily (1) 1+ per capita household income, (2) 1+ income of target mother, and (3) 1 + income of target mother's husband. Controls for months from enrollment to date of enumeration, month and year of enumeration, maternal height, maternal years of education, and language primarily spoken at home are included in the model (unreported). Cluster-robust standard errors in parentheses.

Table A6. Effect on Per Capita Daily Household Expenditures - Sibling Subsample

	(1) Food	(2) Nutrient-Dense Food	(3) Frequent Non- Food	(4) Infrequent Non-Food
SQ-LNS	0.064 (0.051)	0.079 (0.058)	0.142* (0.084)	0.121 (0.092)
Constant	-0.612 (0.786)	-1.276 (0.894)	-1.863 (1.312)	-2.020 (1.599)
N	621	634	612	385
Wald Chi ²	198.3	177.8	342.0	
Prob > Chi ²	0.0000	0.0000	0.0000	

Significance codes: *** (p < .01), ** (p < .05), * (p < .1).

Notes: Dependent variables are natural log of (1) per capita total daily food expenditures, (2) per capita daily expenditures on nutrient-dense food, (3) per capita daily expenditures on frequently purchased non-food items, and (4) per capita daily expenditures on infrequently purchased non-food items. Nutrient dense foods include animal-source foods, fruits, vegetables, pulses, and nuts. Controls for months from enrollment to date of enumeration, month and year of enumeration, maternal height, maternal years of education, and language primarily spoken at home are included in the model (unreported). Cluster-robust standard errors in parentheses.

Table A7. Heterogeneity in Sibling Spillover Effects by Sibling Gender

	(1) HAZ	(2) WAZ	(3) BMIZ	(4) Prob(stunted)
SQ-LNS	0.076 (0.182)	0.062 (0.160)	-0.026 (0.195)	-0.460 (1.222)
Months	-0.194*** (0.065)	-0.214*** (0.052)	-0.125** (0.050)	0.928** (0.381)
SQ-LNS X Months	-0.004 (0.007)	-0.004 (0.007)	-0.003 (0.010)	0.060 (0.084)
Female	0.035 (0.144)	0.047 (0.120)	0.004 (0.142)	0.338 (1.130)
SQ-LNS X Female	0.039 (0.265)	-0.137 (0.220)	-0.104 (0.249)	1.542 (2.059)
Months X Female	0.009** (0.004)	0.006 (0.004)	-0.002 (0.007)	-0.103 (0.092)
SQ-LNS X Months X Female	0.003 (0.009)	0.007 (0.009)	0.005 (0.013)	-0.113 (0.164)
Constant	-15.776*** (1.643)	-9.408*** (1.298)	1.134 (1.211)	62.114*** (13.037)
N	621	630	621	621
Log Likelihood	285.	91.3	119.6	39.0
Wald Chi ² (df)	0.0000	0.0000	0.0000	0.0004

Significance codes: *** (p < .01), ** (p < .05), * (p < .1).

Notes: Due to convergence issues, probability of stunting estimated by random effects probit model with robust standard errors. Controls for sibling age at maternal enrollment into the trial, change in sibling age (in months) from maternal enrollment to the anthropometric measurement, sibling gender, month and year of measurement, maternal height, maternal BMI at enrollment, and maternal education are included in the model (unreported). Cluster-robust standard errors in parentheses.

Table A8. Heterogeneity in Sibling Spillover Effects by Sibling Age at Maternal Enrollment

	(1) HAZ	(2) WAZ	(3) BMIZ
SQ-LNS	1.368 (1.131)	1.264 (0.957)	0.471 (0.847)
Months	-0.290*** (0.075)	-0.174*** (0.057)	0.017 (0.064)
SQ-LNS X Months	0.012 (0.057)	-0.033 (0.044)	-0.073 (0.078)
Months ²	0.002 (0.002)	-0.001 (0.001)	-0.004 (0.003)
SQ-LNS X Months ²	-0.003 (0.004)	-0.001 (0.003)	0.002 (0.005)
Age	-0.041 (0.030)	0.007 (0.027)	0.054* (0.028)
SQ-LNS X Age	-0.072 (0.062)	-0.061 (0.052)	-0.014 (0.047)
Months X Age	0.004*** (0.001)	-0.002* (0.001)	-0.007*** (0.002)
SQ-LNS X Months X Age	-0.000 (0.003)	0.002 (0.002)	0.003 (0.004)
Months ² X Age	-0.000 (0.000)	0.000 (0.000)	0.000 (0.000)
SQ-LNS X Months ² X Age	0.000 (0.000)	0.000 (0.000)	-0.000 (0.000)
Age ²	0.001* (0.000)	-0.000 (0.000)	-0.001** (0.000)
SQ-LNS X Age ²	0.001 (0.001)	0.001 (0.001)	-0.000 (0.001)
Months X Age ²	-0.000** (0.000)	0.000* (0.000)	0.000*** (0.000)
SQ-LNS X Months X Age ²	-0.000 (0.000)	-0.000 (0.000)	-0.000 (0.000)
Months ² X Age ²	0.000 (0.000)	-0.000 (0.000)	-0.000 (0.000)
SQ-LNS X Months ² X Age ²	-0.000 (0.000)	-0.000 (0.000)	0.000 (0.000)
Constant	-15.780*** (1.621)	-10.825*** (1.252)	-1.097 (1.312)
N	1031	1043	1028
Wald Chi ²			
Prob > Chi ²	0.0000	0.0000	0.0000

Significance codes: *** (p < .01), ** (p < .05), * (p < .1).

Notes: Age indicates the sibling age in months at maternal enrollment into the trial. Months indicates the number of months from the birth of the target baby to the sibling measurement. Controls for change in sibling age (in months) from maternal enrollment to the anthropometric measurement, sibling gender, month and year of measurement, maternal height, maternal BMI at enrollment, and maternal education are included in the model (unreported). Cluster-robust standard errors in parentheses.

Table A9. Heterogeneity in Sibling Spillover Effects by Maternal Height

	(1) HAZ
SQ-LNS	-5.872* (3.373)
Months	-0.033 (0.094)
SQ-LNS X Months	-0.327* (0.197)
Months ²	-0.007* (0.004)
SQ-LNS X Months ²	0.026*** (0.010)
Height	0.070*** (0.011)
SQ-LNS X Height	0.037* (0.021)
Months X Height	-0.001** (0.000)
SQ-LNS X Months X Height	0.002* (0.001)
Months ² X Height	0.000** (0.000)
SQ-LNS X Months ² X Height	-0.000*** (0.000)
Constant	-15.248*** (1.924)
N	1031
Wald Chi ² (df)	472.3
Prob > Chi ²	0.0000

Significance codes: *** (p < .01), ** (p < .05), * (p < .1).

Notes: Due to convergence issues, probability of stunting estimated by random effects probit model with robust standard errors. Controls for sibling age at maternal enrollment into the trial, change in sibling age (in months) from maternal enrollment to the anthropometric measurement, sibling gender, month and year of measurement, maternal height, maternal BMI at enrollment, and maternal education are included in the model (unreported). Cluster-robust standard errors in parentheses.

Table A10. Heterogeneity in Sibling Spillover Effects
by Maternal BMI

	(1) WAZ	(2) BMIZ
SQ-LNS	0.659 (0.500)	0.504 (0.485)
Months	-0.214*** (0.048)	-0.124** (0.050)
SQ-LNS X Months	0.018 (0.027)	0.051 (0.044)
Months ²	0.000 (0.001)	0.000 (0.001)
SQ-LNS X Months ²	-0.001 (0.002)	-0.003 (0.003)
BMI	0.061*** (0.012)	0.046*** (0.011)
SQ-LNS X BMI	-0.028 (0.020)	-0.023 (0.019)
Months X BMI	0.000 (0.001)	0.000 (0.001)
SQ-LNS X Months X BMI	-0.001 (0.001)	-0.002 (0.002)
Months ² X BMI	-0.000 (0.000)	-0.000 (0.000)
SQ-LNS X Months ² X BMI	0.000 (0.000)	0.000 (0.000)
Constant	-10.788*** (1.196)	-0.136 (1.284)
N	1043	1028
Wald Chi ² (df)	138.4	222.0
Prob > Chi ²	0.0000	0.0000

Significance codes: *** (p < .01), ** (p < .05), * (p < .1).

Notes: Due to convergence issues, probability of stunting estimated by random effects probit model with robust standard errors. Controls for sibling age at maternal enrollment into the trial, change in sibling age (in months) from maternal enrollment to the anthropometric measurement, sibling gender, month and year of measurement, maternal height, maternal BMI at enrollment, and maternal education are included in the model (unreported). Cluster-robust standard errors in parentheses.